

An introduction to dendric sets

Francesco DOLCE



Combinatorial and Algebraic Structure Seminar

Praha, 24. září 2019

Menu

- ▶ Starters
 - Motivation
- ▶ Soups
 - Arnoux-Rauzy sets
 - Interval Exchange sets
- ▶ Main dishes
 - Extension graphs
 - Dendric and neutral sets
 - Planar dendric sets
 - Maximal bifix decoding
- ▶ Side dishes
 - Eventually dendric sets
 - Return and words
- ▶ Desserts and coffee
 - Dendric substitutions
 - Stabilizer of dendric words



Fibonači



$$x = \text{abaababaabaababa} \dots$$

$$x = \lim_{n \rightarrow \infty} \varphi^n(a) \quad \text{where} \quad \varphi : \begin{cases} a \mapsto ab \\ b \mapsto a \end{cases}$$





Fibonacci



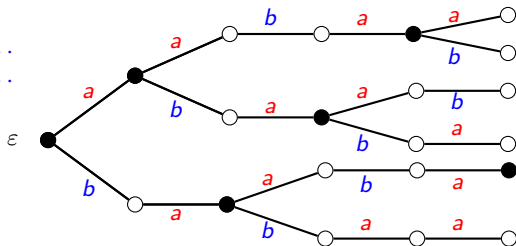
$$x = \mathit{abaababaabaababa} \dots$$

The *Fibonacci set* (set of factors of x) is a Sturmian set.

Definition

A *Sturmian* set $S \subset \mathcal{A}^*$ is a factorial set such that $p_n = \text{Card}(S \cap \mathcal{A}^n) = n + 1$.

$n :$	0	1	2	3	4	5	...
$p_n :$	1	2	3	4	5	6	...



2-coded Fibonacci

$$x = ab\ aa\ ba\ ba\ ab\ aa\ ba\ ba \dots$$

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$$f : \begin{cases} u \mapsto aa \\ v \mapsto ab \\ w \mapsto ba \end{cases}$$

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$$x = ab \text{ } aa \text{ } ba \text{ } ba \text{ } ab \text{ } aa \text{ } ba \text{ } ba \cdots$$

$$f^{-1}(x) = v \text{ } u \text{ } w \text{ } w \text{ } v \text{ } u \text{ } w \text{ } w \cdots$$

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Arnoux-Rauzy sets



Definition

An *Arnoux-Rauzy* set is a factorial set closed by reversal with $p_n = (\text{Card}(\mathcal{A}) - 1)n + 1$ having a unique right special factor for each length.



Arnoux-Rauzy sets



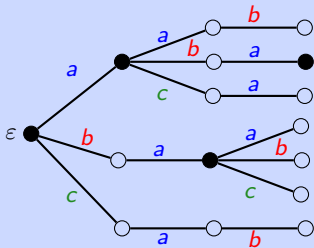
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Example (Tribonacci)

Factors of the fixed point $\eta^\omega(a)$ of the morphism

$$\eta : a \mapsto ab, \quad b \mapsto ac, \quad c \mapsto a.$$



$n :$	0	1	2	3	...
$p_n :$	1	3	5	7	...

$$p_n = 2n + 1$$

2-coded Fibonacci

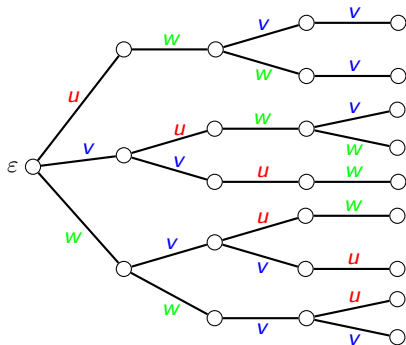
$$f^{-1}(x) = v u w w v u w w \dots$$

Is the set of factors of $f^{-1}(x)$ an Arnoux-Rauzy set?

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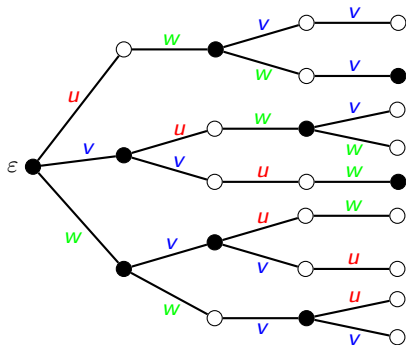
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n :	0	1	2	3	4	...
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2-coded Fibonacci

$$f^{-1}(x) = v u w w v u w w \dots$$

Is the set of factors of $f^{-1}(x)$ an Arnoux-Rauzy set? **No!**



$$p_n = 2n + 1$$

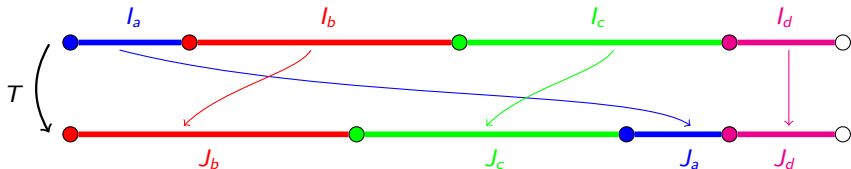
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Interval exchanges

Let $(I_\alpha)_{\alpha \in A}$ and $(J_\alpha)_{\alpha \in A}$ be two partitions of $[0, 1[$.

An *interval exchange transformation* (IET) is a map $T : [0, 1[\rightarrow [0, 1[$ defined by

$$T(z) = z + y_\alpha \quad \text{if } z \in I_\alpha.$$

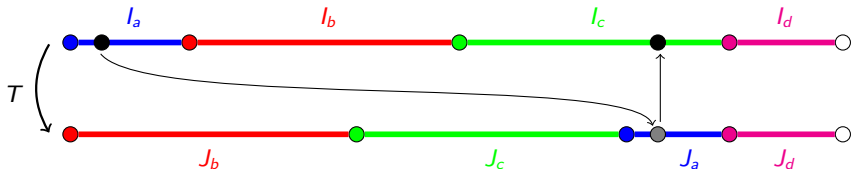


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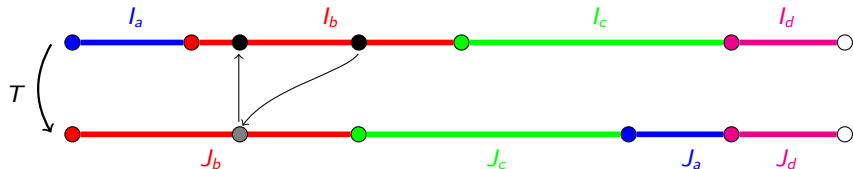


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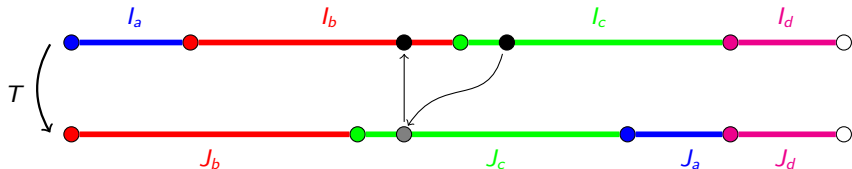


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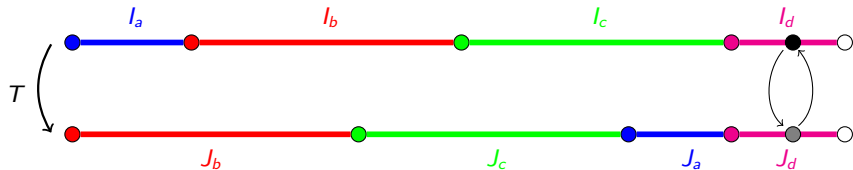


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Interval exchanges



T is said to be *minimal* if for any point $z \in [0, 1[$ the orbit $\mathcal{O}(z) = \{T^n(z) \mid n \in \mathbb{Z}\}$ is dense in $[0, 1[$.

T is said *regular* if the orbits of the non-zero separation points are infinite and disjoint.

Theorem [Keane (1975)]

A regular interval exchange transformation is minimal.

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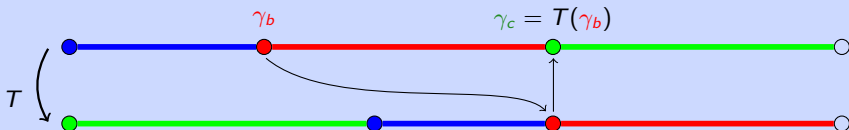
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Example (the converse is not true)

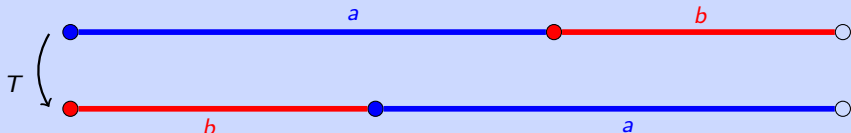


Interval exchanges

The *natural coding* of T relative to $z \in [0, 1]$ is the infinite word $\Sigma_T(z) = a_0 a_1 \cdots \in \mathcal{A}^\omega$ defined by

$$a_n = \alpha \quad \text{if } T^n(z) \in I_\alpha.$$

Example (Fibonacci, $z = (3 - \sqrt{5})/2$)

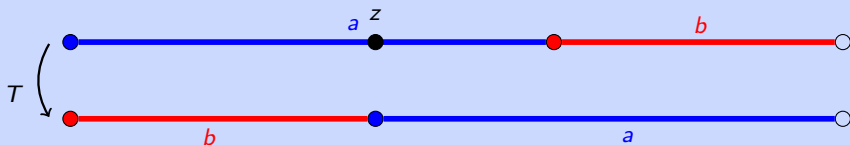


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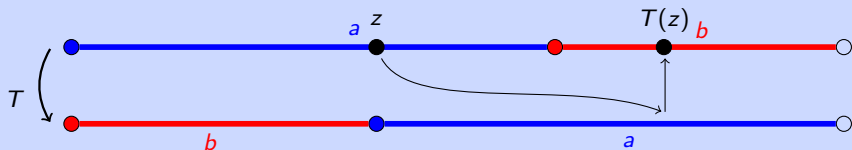
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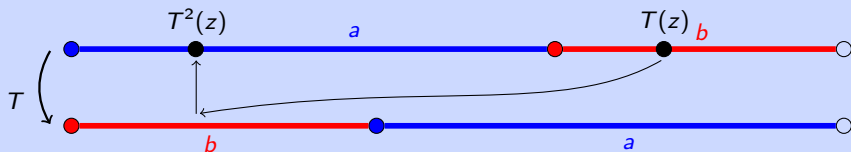
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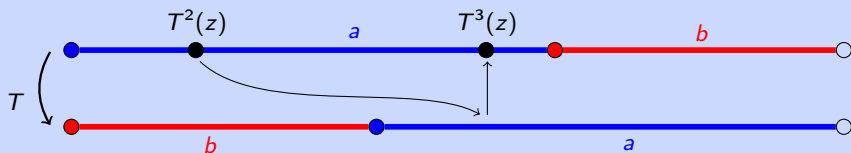
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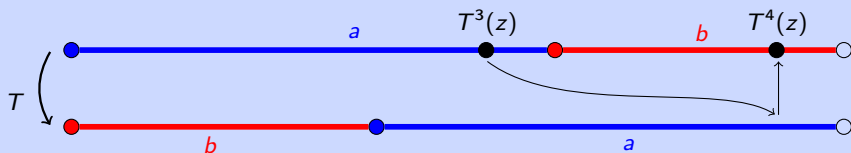
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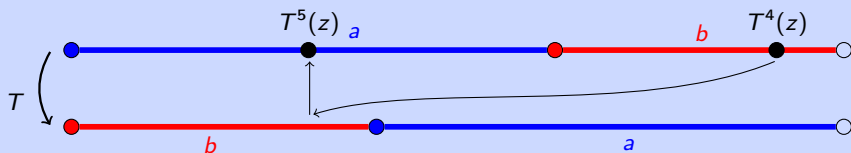
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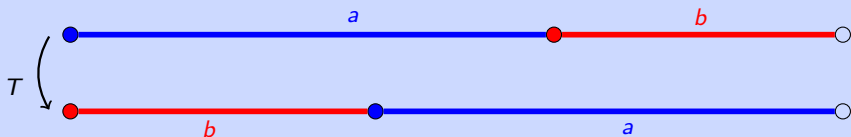
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Interval exchanges

The set $\mathcal{L}(T) = \bigcup_{z \in [0,1[} \text{Fac}(\Sigma_T(z))$ is said a (*minimal, regular*) *interval exchange set*.

Remark. If T is minimal, $\text{Fac}(\Sigma_T(z))$ does not depend on the point z .

Example (Fibonači)



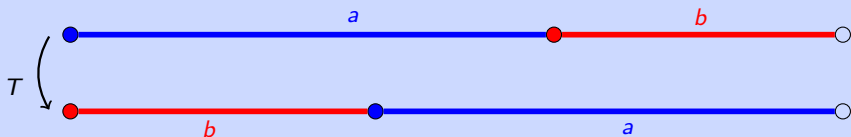
$$\mathcal{L}(T) = \{ \varepsilon, a, b, aa, ab, ba, aab, aba, baa, bab \dots \}$$

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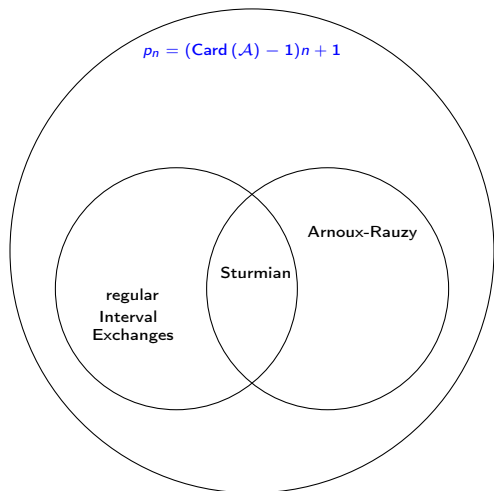


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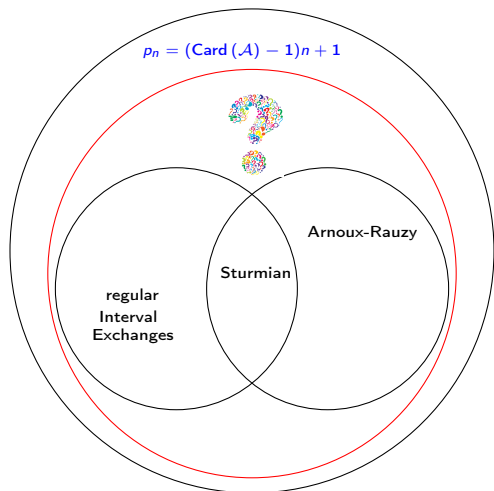
Proposition

Regular interval exchange sets have factor complexity $p_n = (\text{Card}(\mathcal{A}) - 1)n + 1$.

Arnoux-Rauzy and Interval exchanges



Arnoux-Rauzy and Interval exchanges

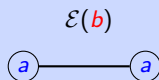
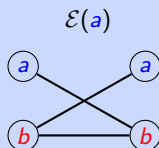
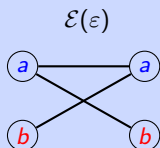


Extension graphs

The *extension graph* of a word $w \in \mathcal{L}$ is the undirected bipartite graph $\mathcal{E}(w)$ with vertices $L(w) \sqcup R(w)$ and edges $B(w)$, where

$$\begin{aligned}L(w) &= \{u \in \mathcal{A} \mid uw \in \mathcal{L}\} \\R(w) &= \{v \in \mathcal{A} \mid wv \in \mathcal{L}\} \\B(w) &= \{(u, v) \in \mathcal{A} \times \mathcal{A} \mid uwv \in \mathcal{L}\}\end{aligned}$$

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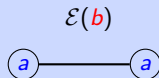
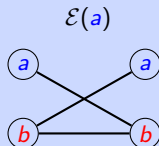
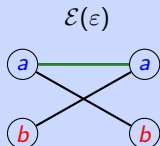


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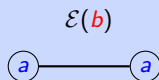
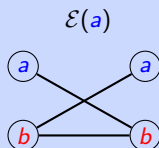
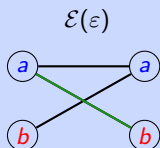


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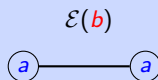
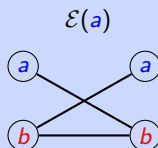
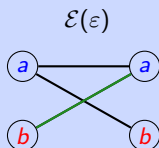


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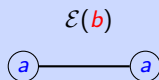
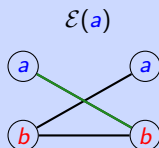
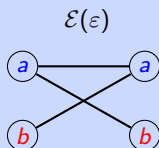


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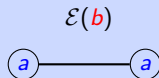
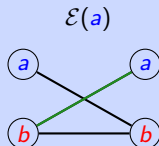
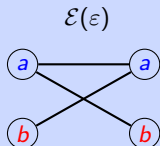


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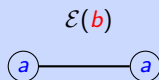
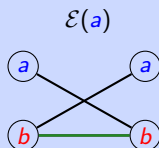
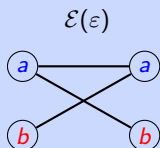


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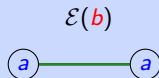
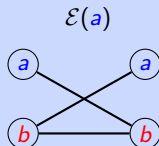
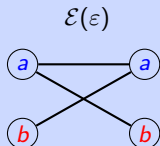


Extension graphs

The *extension graph* of a word $w \in \mathcal{L}$ is the undirected bipartite graph $\mathcal{E}(w)$ with vertices $L(w) \sqcup R(w)$ and edges $B(w)$, where

$$\begin{aligned}L(w) &= \{u \in \mathcal{A} \mid uw \in \mathcal{L}\} \\R(w) &= \{v \in \mathcal{A} \mid wv \in \mathcal{L}\} \\B(w) &= \{(u, v) \in \mathcal{A} \times \mathcal{A} \mid uwv \in \mathcal{L}\}\end{aligned}$$

Example (Fibonacci, $\mathcal{L} = \{\varepsilon, a, b, aa, ab, ba, aab, \mathbf{aba}, baa, bab, \dots\}$)



Extension graphs

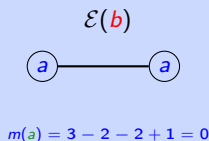
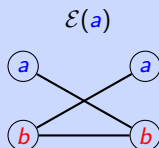
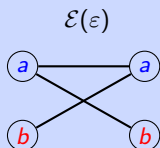
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The *multiplicity* of a word w is the quantity

$$m(w) = \text{Card}(B(w)) - \text{Card}(L(w)) - \text{Card}(R(w)) + 1.$$

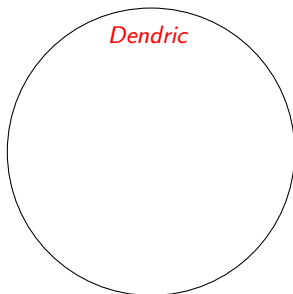
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Dendric and neutral sets

Definition

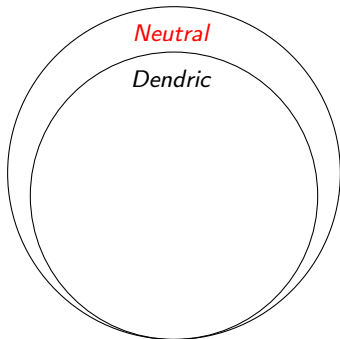
A language \mathcal{L} is called *dendric* if the graph $\mathcal{E}(w)$ is a tree for any $w \in \mathcal{L}$.



Dendric and neutral sets

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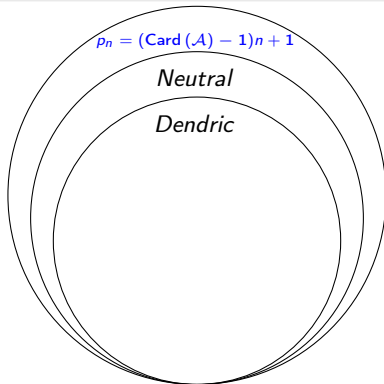
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It is called *neutral* if every word w has multiplicity $m(w) = 0$.



Dendric and neutral sets

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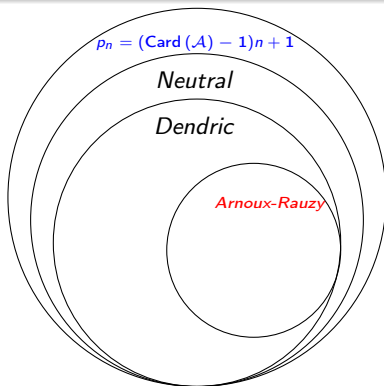
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Dendric and neutral sets

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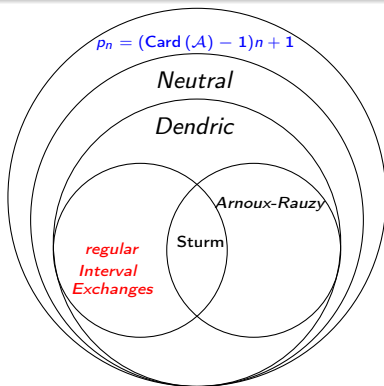
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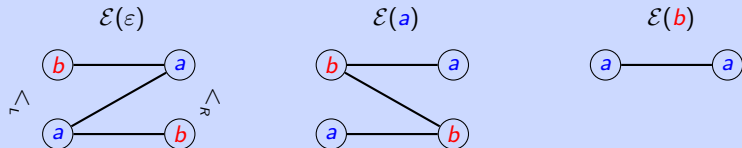
Planar dendric sets

Let $<_L$ and $<_R$ be two orders on \mathcal{A} .

For a set S and a word $w \in S$, the graph $\mathcal{E}(w)$ is *compatible* with $<_L$ and $<_R$ if for any $(a, b), (c, d) \in B(w)$, one has

$$a <_L c \implies b \leq_R d.$$

Example (Fibonacci, $b <_L a$ and $a <_R b$)



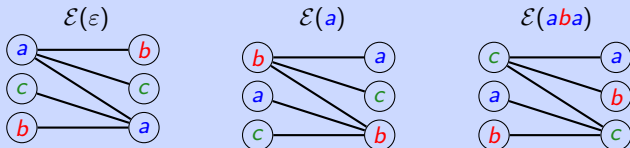
A biextendable set S is a *planar dendric set* w.r.t. $<_L$ and $<_R$ on \mathcal{A} if for any $w \in S$ the graph $\mathcal{E}(w)$ is a tree compatible with $<_L$ and $<_R$.

Planar dendric sets

Example

The *Tribonacci set* is **not** a planar dendric set.

Indeed, let us consider the extension graphs of the bispecial words ε , a and aba .

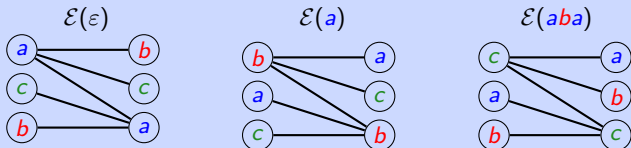


Planar dendric sets

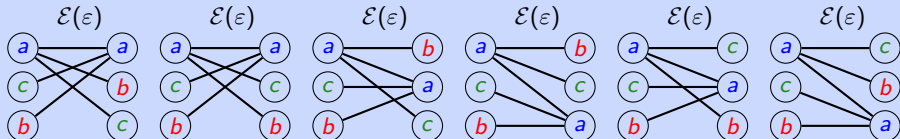
Example

The *Tribonači set* is **not** a planar dendric set.

Indeed, let us consider the extension graphs of the bispecial words ε , a and aba .



- $\underline{a <_L c <_L b}$

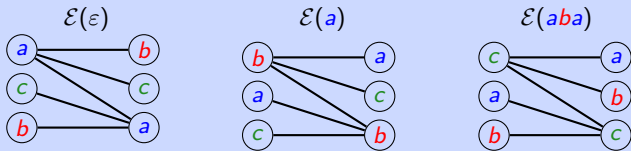


Planar dendric sets

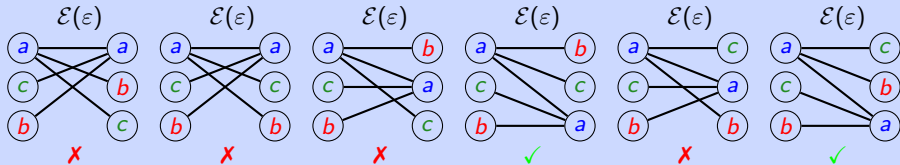
Example

The *Tribonači set* is **not** a planar dendric set.

Indeed, let us consider the extension graphs of the bispecial words ε , a and aba .



$$\bullet \quad \underline{a <_L c <_L b} \implies b <_R c <_R a \text{ or } c <_R b <_R a$$

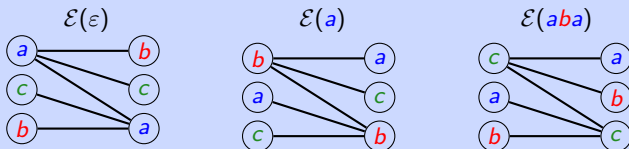


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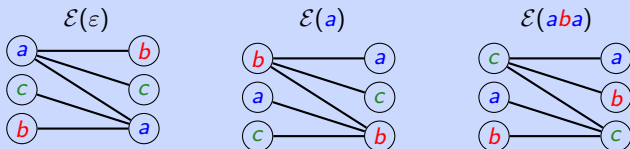


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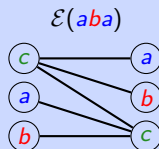
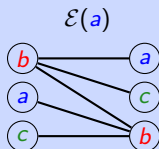
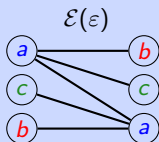


Planar dendric sets

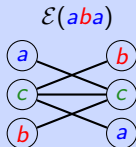
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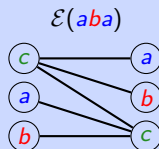
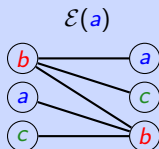
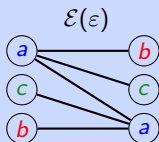


Planar dendric sets

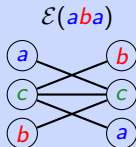
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• $\underline{a <_L c <_L b} \implies \text{!}$



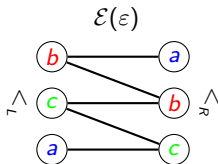
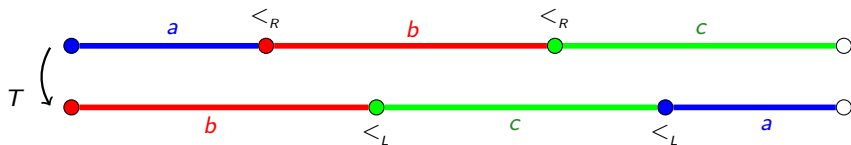


Planar dendric sets



Theorem [Ferenczi, Zamboni (2008)]

A set S is a regular interval exchange set **if and only if** it is a *recurrent* planar dendric set.



Recurrence and uniform recurrence

Definition

A language \mathcal{L} is *recurrent* if for every $u, v \in \mathcal{L}$ there is a $w \in \mathcal{L}$ such that uwv is in \mathcal{L} .

Example (Fibonacci)

$x = \mathbf{ab}\mathbf{aab}\mathbf{ba}\mathbf{abababaababaabaababa} \cdots$

Recurrence and uniform recurrence

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\mathcal{L} is *uniformly recurrent* if for every $u \in S$ there exists an $n \in \mathbb{N}$ such that u is a factor of every word of length n in S .

Example (Fibonači)

$$x = \underbrace{abaa}_4 \text{ ba } \underbrace{baab}_4 \underbrace{aaba}_4 \text{ baababaaba } \underbrace{abab}_4 \text{ a} \dots$$

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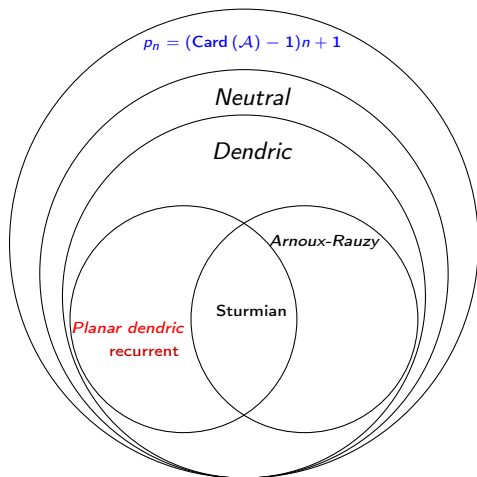
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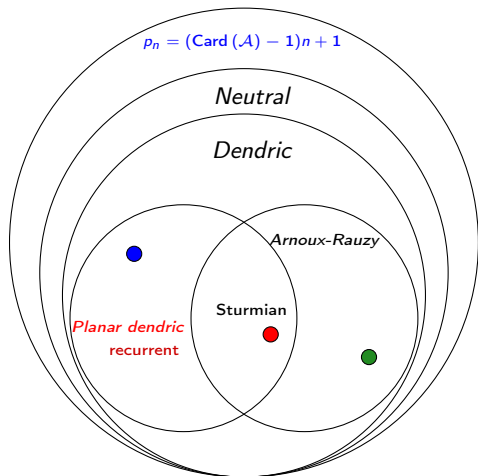
Proposition

Uniform recurrence \implies Recurrence.

Dendric and neutral sets



Dendric and neutral sets

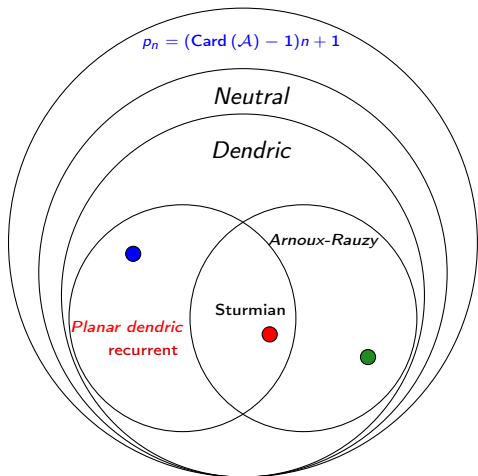


• Fibonači

• Tribonači

• regular IE

Dendric and neutral sets



- Fibonacci
- ? 2-coded Fibonacci
- Tribonacci
- ? 2-coded Tribonacci
- regular IE
- ? 2-coded regular IE

Bifix codes

Definition

A *bifix code* is a set $B \subset \mathcal{A}^+$ of nonempty words that does not contain any proper prefix or suffix of its elements.

Example

✓ { *aa*, *ab*, *ba* }

✓ { *aa*, *ab*, *bba*, *bbb* }

✓ { *ac*, *bcc*, *bc**b**ca* }

✗ { pivnice, pivo, pivovar }

✗ { becherovka, beton, rovka }

✗ { s, slivovice, vice }

Bifix codes

Definition

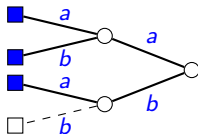
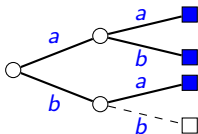
A *bifix code* is a set $B \subset \mathcal{A}^+$ of nonempty words that does not contain any proper prefix or suffix of its elements.

A bifix code $B \subset S$ is *S-maximal* if it is not properly contained in a bifix code $C \subset S$.

Example (Fibonacci)

The set $B = \{aa, ab, ba\}$ is an *S*-maximal bifix code.

It is not an \mathcal{A}^* -maximal bifix code, since $B \subset B \cup \{bb\}$.



Bifix codes

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A *coding morphism* for a bifix code $B \subset A^+$ is a morphism $f : B^* \rightarrow A^*$ which maps bijectively B onto B .

Example

The map $f : \{u, v, w\}^* \rightarrow \{a, b\}^*$ is a coding morphism for $B = \{aa, ab, ba\}$.

$$f : \begin{cases} u \mapsto aa \\ v \mapsto ab \\ w \mapsto ba \end{cases}$$

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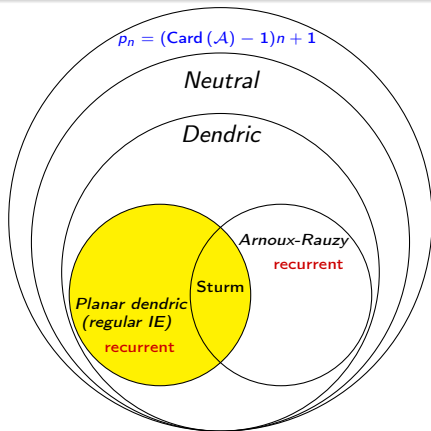
$$f : \begin{cases} u \mapsto aa \\ v \mapsto ab \\ w \mapsto ba \end{cases}$$

When S is factorial and B is an S -maximal bifix code, the set $f^{-1}(S)$ is called a *maximal bifix decoding* of S .

Maximal bifix decoding

Theorem [Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone (2014)]

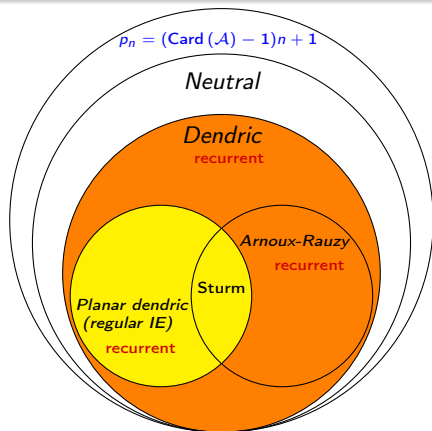
The family of *recurrent planar dendric sets* (i.e. *regular interval exchange sets*) is closed under maximal bifix decoding.



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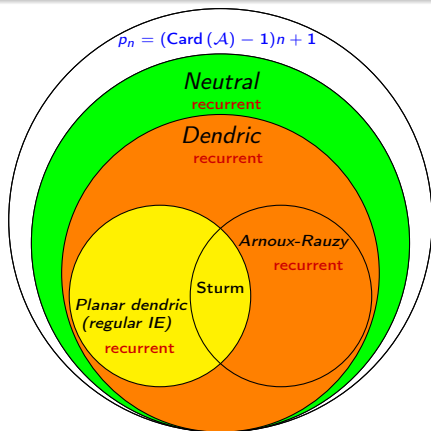
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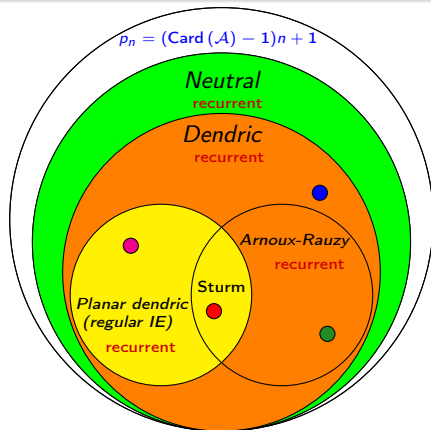
The family of *recurrent neutral sets* is closed under maximal bifix decoding.



Maximal bifix decoding

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The family of *recurrent neutral sets* is closed under maximal bifix decoding.



- Fibonači
- 2-coded Fibonači
- Tribonači
- 2-coded Tribonači

A question by Fabien Durand

$x = abaababaabaababa \dots$



A question by Fabien Durand



$x = abaababaabaababa \dots$

$$\left\{ \begin{array}{l} u \leftarrow aa \\ v \leftarrow ab \\ w \leftarrow ba \end{array} \right.$$

A question by Fabien Durand



$$\mathbf{x} = \boxed{ab}aababaabaababa \dots$$

$$\sigma(\mathbf{x}) = v$$

$$\sigma : \begin{cases} u & \leftarrow & aa \\ v & \leftarrow & ab \\ w & \leftarrow & ba \end{cases}$$

A question by Fabien Durand



$$\mathbf{x} = a \boxed{ba} ababaabaababa \dots$$

$$\sigma(\mathbf{x}) = vw$$

$$\sigma : \begin{cases} u & \leftarrow & aa \\ v & \leftarrow & ab \\ w & \leftarrow & ba \end{cases}$$

A question by Fabien Durand



$$x = ab \boxed{aa} babaabaababa \dots$$

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$$x = aba \boxed{ab} abaabaababa \dots$$

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$$x = abaab \boxed{ab} aabaababa \dots$$

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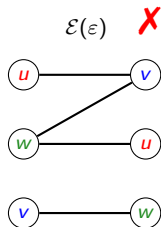
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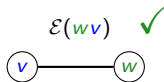
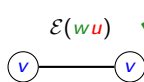
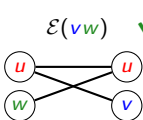
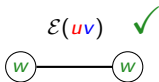
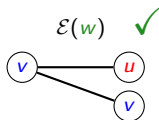
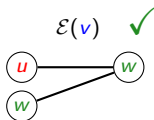
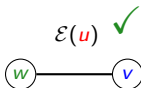
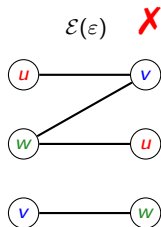
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Eventually dendric sets

Definition

A biextendable factorial set S is called a *eventually dendric set* with **threshold** $m \geq 0$ if the graph $\mathcal{E}(w)$ is a tree for any $w \in S$ s.t. $|w| \geq m$.

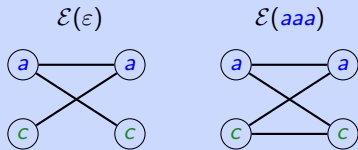
Eventually dendric sets

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Example (coding of Tribonacci)

Let us consider the set $\alpha(S)$, where $\alpha : a, b \mapsto a, \quad c \mapsto c$.



The extension graph of all words of length at least 4 is a tree. (Just trust me!)

Eventually dendric sets

Complexity

Let us consider the function $s_n = \rho_{n+1} - \rho_n$.

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Proposition [D., Perrin (2019)]

Let S be an eventually dendric set. Then s_n is eventually constant.

Eventually dendric sets

Complexity

Let us consider the function $s_n = p_{n+1} - p_n$.

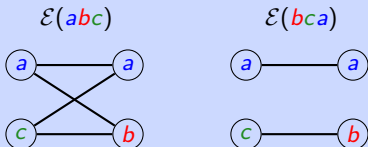
Proposition [D., Perrin (2019)]

Let S be an eventually dendric set. Then s_n is eventually constant.

Example (the converse is not true)

The *Chacon ternary set* is the set of factors of $\varphi^\omega(a)$, where $\varphi : \begin{cases} a \mapsto aabc \\ b \mapsto bc \\ c \mapsto abc \end{cases}$.

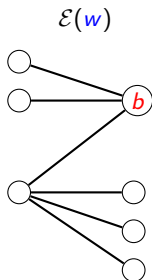
One has $p_n = 2n + 1$ ($\Rightarrow s_n = 2$).



Eventually dendric sets

Theorem [D., Perrin (2019)]

A biextendable factorial set S is eventually dendric **if and only if** there exists $N \geq 0$ s.t. any left-special word $w \in S$ of length at least N has exactly one right extension $w**b**$ that is left-special.

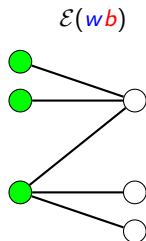
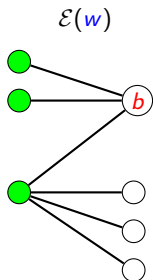


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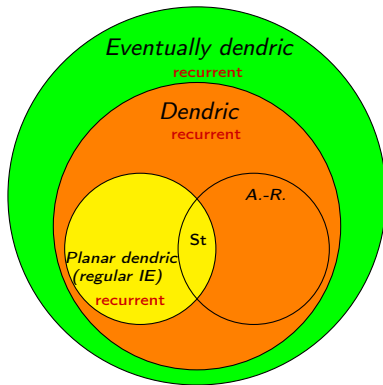
Moreover, in that case one has $L(wb) = L(w)$.



Eventually dendric sets

Theorem [D., Perrin (2019)]

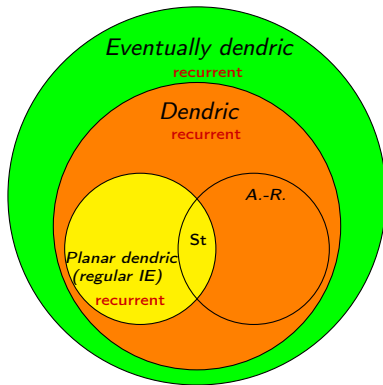
- The family of recurrent eventually dendric sets with threshold m is closed under maximal bifix decoding.



Eventually dendric sets

Theorem [D., Perrin (2019)]

- The family of recurrent **eventually dendric sets** with threshold m is closed under **maximal bifix decoding**.
- The family of recurrent **eventually dendric sets** is closed under **conjugacy** (the threshold may change).



Return words

A (*left*) *return word* to w in \mathcal{L} is a nonempty word u such that $uw \in \mathcal{L}$ starts and ends with w but has no w as an internal factor. Formally,

$$\mathcal{R}(w) = \{u \in A^+ \mid uw \in \mathcal{L} \cap (wA^+ \setminus A^+wA^+)\}$$

Example (Fibonači)

$$\mathcal{R}(0) = \{\underline{0}, \underline{01}\}$$

$$x = 010\underline{0}101001\underline{0}01010010100100101001001 \dots$$

$$\mathcal{R}(00) = \{\underline{001}, \underline{00101}\}$$

$$x = 0100101\underline{001}00101\underline{00101}00100101001001 \dots$$



Cardinality of return words

Theorem [Vuillon (2001)]

Let \mathcal{L} be a **Sturmian set**. For every $w \in \mathcal{L}$, one has

$$\text{Card}(\mathcal{R}(w)) = 2.$$



Cardinality of return words



Theorem [Vuillon (2001); Balková, Pelantová, Steiner (2008)]

Let \mathcal{L} be a recurrent **neutral set**. For every $w \in \mathcal{L}$, one has

$$\text{Card}(\mathcal{R}(w)) = \text{Card}(A).$$



Cardinality of return words



Theorem [Vuillon (2001); Balková, Pelantová, Steiner (2008)]

Let \mathcal{L} be a recurrent **neutral set**. For every $w \in \mathcal{L}$, one has

$$\text{Card}(\mathcal{R}(w)) = \text{Card}(A).$$

Corollary

A neutral (dendric) set is recurrent **if and only if** it is uniformly recurrent

Proof. A recurrent set \mathcal{L} is uniformly recurrent if and only if $\mathcal{R}(w)$ is finite for all $w \in \mathcal{L}$.

Return Theorem

Theorem [Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Ridone (2014)]

Let \mathcal{L} be a recurrent **dendric set**. For every $w \in \mathcal{L}$, $\mathcal{R}(w)$ is a basis of the free group \mathbb{F}_A .

Example (Fibonači)

The set $\mathcal{R}(00) = \{001, 00101\}$ is a basis of the free group. Indeed,

$$0 = 001 (00101)^{-1} 001$$

$$1 = 0^{-1} 0^{-1} 001$$

Derived sequence

of an infinite word

Let us decode with respect to the return words to the first letter of an infinite word.

Example

$z = 0110100110010110100101100110100110010110$

Derived sequence

of an infinite word

Let us decode with respect to the return words to the first letter of an infinite word.

Example

$$\mathcal{R}(0) = \{011, 01, 0\} \longleftrightarrow \{0, 1, 2\}$$

$$z = 0110100110010110100101100110100110010110 \dots$$

$$\mathcal{D}(z) = 0120210121020120210201210120210121020121 \dots$$

Derived sequence

of an infinite word

Let us decode with respect to the return words to the first letter of an infinite word.

Example

$$\mathcal{R}(0) = \{012, 021, 0121, 02\} \longleftrightarrow \{0, 1, 2, 3\}$$

$$z = 0110100110010110100101100110100110010110 \dots$$

$$D(z) = \underline{0120210121020120210201210120210121020121} \dots$$

$$D^2(z) = 0123013201232013012301320130123201230132 \dots$$

Derived sequence

of an infinite word

Let us decode with respect to the return words to the first letter of an infinite word.

Example

$$\begin{aligned} \mathbf{z} &= 0110100110010110100101100110100110010110 \dots \\ \mathcal{D}(\mathbf{z}) &= 0120210121020120210201210120210121020121 \dots \\ \mathcal{D}^2(\mathbf{z}) &= 0123013201232013012301320130123201230132 \dots \\ \mathcal{D}^3(\mathbf{z}) &= 0123013201232013012301320130123201230132 \dots \\ \mathcal{D}^4(\mathbf{z}) &= 0123013201232013012301320130123201230132 \dots \\ \dots & \quad \dots \end{aligned}$$

The sequence $(\mathcal{D}^n(\mathbf{z}))_{n \in \mathbb{N}}$ is called *derived sequence* of \mathbf{z} .

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REMARK: The alphabets are, in general, different.

Number of derived sequences

Corollary [to the Return Theorem]

For a recurrent *dendric word* \mathbf{x} one has $\text{Card}(\mathcal{R}(w)) = \text{Card}(A)$ for any $w \in \mathcal{L}(\mathbf{x})$.
Thus all $\mathcal{D}^n(\mathbf{x})$ are in A^ω .

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Theorem [Durand (1998)]

A uniformly recurrent word $\mathbf{x} \in A^\omega$ is *primitive substitutive* if and only if the set of its derived sequences $\{\mathcal{D}^n(\mathbf{x}) \mid n \in \mathbb{N}\}$ is finite.

Example (Fibonači)

$\text{Card}(\{\mathcal{D}^n(\mathbf{x})\}_{n \in \mathbb{N}}) = 1$, since

$$\begin{array}{l} \mathbf{x} = 0100101001001010010100100101001001 \dots \\ \mathcal{D}(\mathbf{x}) = 0100101001001010010100100101001001 \dots \end{array}$$

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Theorem [Klouda, Medková, Pelantová, Starosta (2018)]

Let \mathbf{x} be a fixed point of a Sturmian substitution $\sigma = \sigma_1\sigma_2 \cdots \sigma_q\pi$, with $\sigma_i \in (\mathcal{S}_e \setminus \mathcal{G}_A)^*$ and $\pi \in \mathcal{G}_A$ (decomposition in a *normal form*). Then

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QUESTION: Can we bound $\text{Card}(\{\mathcal{D}^n(\mathbf{x})\}_{n \in \mathbb{N}})$ when \mathbf{x} is recurrent dendric?

Morphisms and substitutions

A (non-erasing) *morphism* $\sigma : A^* \rightarrow B^*$ is a map s.t. $\sigma(uv) = \sigma(u)\sigma(v)$ for all $u, v \in A^*$ (and $\sigma(u) \in B^+$ for all $u \in A^+$).

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A substitution is *primitive* if there exists a $k \in \mathbb{N}$ s.t. $b \in \mathcal{L}(\sigma^k(a))$ for all $a, b \in A$.

An infinite word of the form $\mathbf{x} = \sigma^\omega(a) = \lim_{n \rightarrow \infty} \sigma^n(a)$, with $a \in A$, is a *fixed point* of σ , that is $\sigma(\mathbf{x}) = \mathbf{x}$.

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Proposition

If σ is a primitive substitution, there exists a $k \in \mathbb{N}$ such that σ^k admits a fixed point.

Moreover, all fixed points of σ (or some power of it) have the same language, called the *language of σ* , and this is uniformly recurrent.

Substitutive words

An infinite word $\mathbf{y} \in B^\omega$ is *substitutive* if there exist a substitution σ over B and a morphism $\tau : A^* \rightarrow B^*$ such that

$$\mathbf{y} = \tau(\sigma^\omega(b))$$

with $b \in B$. It is said *substitutive primitive* whenever σ is primitive.

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- The word $\mathbf{x} = \tau(\varphi^\omega(0))$ is substitutive primitive.
 $= \tau(0100101001001010 \dots)$
 $= \alpha\beta\alpha\gamma\alpha\beta\alpha\alpha\beta\alpha\gamma\alpha\beta\alpha\gamma\alpha\beta\alpha \dots$

Invertible substitutions

Given an alphabet A , the *free group* \mathbb{F}_A is the set of all words over $A \cup A^{-1}$ which are *reduced* (i.e., $aa^{-1} \equiv a^{-1}a \equiv \varepsilon$ for every $a \in A$).

A substitution $\sigma : A^* \rightarrow A^*$ can be extended to a morphism of the free group by defining $\sigma(a^{-1}) = \sigma(a)^{-1}$.

Example

$$\begin{aligned} \varphi : \mathbb{F}_{\{0,1\}} &\rightarrow \mathbb{F}_{\{0,1\}} \\ 0 &\mapsto 01 \\ 1 &\mapsto 0 \\ 0^{-1} &\mapsto 1^{-1}0^{-1} \\ 1^{-1} &\mapsto 0^{-1} \end{aligned}$$

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A morphism $\sigma : A^* \rightarrow A^*$ is *invertible* if its extension $\sigma : \mathbb{F}_A \rightarrow \mathbb{F}_A$ is a (positive) automorphism, i.e., if there exists σ^{-1} such that $\sigma\sigma^{-1} = \sigma^{-1}\sigma = Id$.

Example

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$$\begin{aligned} \varphi^{-1} : \mathbb{F}_{\{0,1\}} &\rightarrow \mathbb{F}_{\{0,1\}} \\ 0 &\mapsto 1 \\ 1 &\mapsto 1^{-1}0 \\ 0^{-1} &\mapsto 1^{-1} \\ 1^{-1} &\mapsto 0^{-1}1 \end{aligned}$$

Tame substitutions

An automorphism σ is *positive* if $\sigma(a) \in A^+$ for every $a \in A$.

An automorphism is *elementary positive* if it is a permutation of A or of the form $\alpha_{a,b}$ or $\tilde{\alpha}_{a,b}$, with $a, b \in A$ and $a \neq b$, where

$$\alpha_{a,b} : \begin{cases} a \mapsto ab \\ c \mapsto c \end{cases} \quad \text{if } c \neq a \quad \text{and} \quad \tilde{\alpha}_{a,b} : \begin{cases} a \mapsto ba \\ c \mapsto c \end{cases} \quad \text{if } c \neq a$$

The set of elementary automorphisms is denoted \mathcal{S}_e .

A positive automorphism (resp. substitution) $\sigma \in \mathcal{S}_e^*$ is said to be *tame*.

Example

The set of elementary automorphisms over $A = \{0, 1\}$ is

$$\mathcal{S}_e = \{Id, \pi_{(01)}, \alpha_{0,1}, \alpha_{1,0}, \tilde{\alpha}_{0,1}, \tilde{\alpha}_{1,0}\}.$$

The substitution $\varphi = \pi_{(01)}\tilde{\alpha}_{0,1} : \begin{cases} 0 \mapsto 10 \mapsto 01 \\ 1 \mapsto 1 \mapsto 0 \end{cases}$ is tame.

Tame and invertible substitutions

tame substitutions \subset invertible substitutions

- Every permutations $\pi \in \mathfrak{S}_A$ is invertible.
- The inverses of

$$\alpha_{a,b} : \begin{cases} a \mapsto ab \\ c \mapsto c \end{cases} \quad \text{if } c \neq a \quad \text{and} \quad \tilde{\alpha}_{a,b} : \begin{cases} a \mapsto ba \\ c \mapsto c \end{cases} \quad \text{if } c \neq a$$

are respectively

$$\alpha_{a,b}^{-1} : \begin{cases} a \mapsto ab^{-1} \\ c \mapsto c \end{cases} \quad \text{if } c \neq a \quad \text{and} \quad \tilde{\alpha}_{a,b}^{-1} : \begin{cases} a \mapsto b^{-1}a \\ c \mapsto c \end{cases} \quad \text{if } c \neq a$$

Episturmian and epistandard morphisms

epistandard substitutions \subset episturmian substitutions \subset tame substitutions \subset invertible substitutions

The monoid of *episturmian* (or *Arnoux-Rauzy*) *substitutions* is generated by permutations of A and morphisms of the form ψ_a and $\tilde{\psi}_a$, with $a \in A$, where

$$\psi_a : \begin{cases} a \mapsto a \\ b \mapsto ab \end{cases} \quad \text{if } b \neq a \quad \text{and} \quad \tilde{\psi}_a : \begin{cases} a \mapsto a \\ b \mapsto ba \end{cases} \quad \text{if } b \neq a$$

The monoid of *epistandard substitutions* is generated by permutations of A and morphisms of the form ψ_a , with $a \in A$ (i.e., no $\tilde{\psi}_b$).

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Example (Fibonači and Tribonači)

- The substitution $\varphi = \psi_0 \pi_{(01)} : \begin{cases} 0 \mapsto 1 \mapsto 01 \\ 1 \mapsto 0 \mapsto 0 \end{cases}$ is epistandard.
- The substitution $\eta = \psi_0 \pi_{(012)} : \begin{cases} 0 \mapsto 1 \mapsto 01 \\ 1 \mapsto 2 \mapsto 02 \\ 2 \mapsto 0 \mapsto 0 \end{cases}$ is epistandard.

Episturmian and epistandard morphisms

epistandard substitutions = episturmian substitutions = tame substitutions = invertible substitutions

$$A = \{0, 1\}$$

Theorem [Mignosi, Séébold (1993) ; Wen, Wen (1994)]

In the binary case (*Sturmian substitutions*) the four monoids coincide.

Proof. (of the first two equalities)

- For every $a \in \{0, 1\}$, one has $\tilde{\psi}_a = \pi_{(0,1)} \psi_a \pi_{(0,1)}$.
- $\alpha_{0,1} = \pi_{(0,1)} \psi_0$, $\alpha_{1,0} = \pi_{(0,1)} \psi_1 \pi_{(0,1)}$, $\tilde{\alpha}_{0,1} = \psi_1$, $\tilde{\alpha}_{1,0} = \psi_0$.

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Corollary

The monoid of positive automorphisms over a binary alphabet is finitely generated.

Episturmian and epistandard morphisms

epistandard substitutions \subsetneq episturmian substitutions \subsetneq tame substitutions \subsetneq invertible substitutions

$\text{Card}(A) \geq 3$

Theorem [Wen, Zhang (1999) ; Richomme (2003)]

The monoid of invertible substitutions over a ternary alphabet is not finitely generated.

Fixed point of substitutions

Theorem

Every Sturmian substitution generates a Sturmian word.

Example

The substitution φ generates the *Fibonači word*

$$\varphi^\omega(0) = 0100101001001010 \dots$$

which is Sturmian.

Fixed point of substitutions

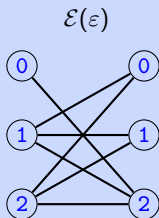
Theorem

Every Sturmian substitution generates a Sturmian word.

BUT not every tame substitution admits as a fixed point a dendric word.

Example

$\xi = \alpha_{0,2} \alpha_{2,1} \alpha_{1,0} : \begin{cases} 0 \mapsto 02 \\ 1 \mapsto 102 \\ 2 \mapsto 21 \end{cases}$ is tame but its fixed point $\xi^\omega(0)$ is not a dendric word.



Stabilizer

The *stabilizer* of an infinite word $\mathbf{x} \in A^\omega$ is the submonoid of substitutions

$$\text{Stab}(\mathbf{x}) = \{\sigma : A^* \rightarrow A^* \mid \sigma(\mathbf{x}) = \mathbf{x}\}$$

A word \mathbf{x} such that $\text{Stab}(\mathbf{x})$ is cyclic is said to be *rigid*.

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Theorem [Séébold (1998)]

Words generated by Sturmian substitutions are rigid.

Example (Fibonači)

The stabilizer of the Fibonači word x is $\text{Stab}(x) = \{\varphi^i \mid i \in \mathbb{N}\}$.

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Theorem [Séébold (1998)]

Words generated by Sturmian substitutions are rigid.

Theorem [Krieger (2008)]

Fixed points of strict epistandard morphisms are rigid.

Example (Tribonači)

The stabilizer of the Tribonači word $\mathbf{y} = \eta^\omega(0)$ is $\text{Stab}(\mathbf{y}) = \{\eta^i \mid i \in \mathbb{N}\}$.

Stabilizers of dendric words

QUESTION: *Are dendric words rigid?*

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ANSWER: *Dunno!*

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Then, there exist $i, j \geq 1$ such that $\sigma^i = \tau^j$.

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Let x be a recurrent dendric word.

There exists a primitive tame substitution θ such that for any primitive $\sigma \in \text{Stab}(x)$, one can find a positive tame automorphism τ and integers $i, j \geq 1$ such that $\sigma^i = \tau\theta^j\tau^{-1}$.

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Corollary

If x is a recurrent dendric word, then any primitive $\sigma \in \text{Stab}(x)$ is invertible (and thus tame).

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QUESTION: Is any non-trivial element of $\text{Stab}(x)$ primitive when x is recurrent dendric?

Děkuji