

A gentle introduction to Combinatorics on Words

Francesco DOLCE



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Some words about words

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- *strč, prst, skrz, krk*



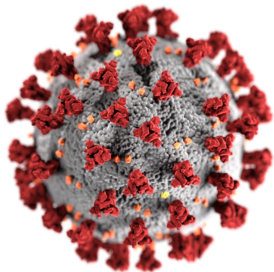
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- 001, 101000, 010101010



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- ♥♣♥♦, ♥♥♠♦
- ...



Let's start with the A, B, C 's

Definition

- \mathcal{A} (finite) is an *alphabet*
- $a \in \mathcal{A}$ is a *letter*
- \mathcal{A}^* is the free monoid and $\mathcal{A}^+ = \mathcal{A}^* \setminus \{\varepsilon\}$ the free semigroup
- $w \in \mathcal{A}^*$ is a (*finite*) *word*

Example

- $\varepsilon, a, bba, abba \in \{a, b\}^*$
- $a \cdot bb = abb$

Length matters

Definition

The *length* $|w|$ of a word $w = a_0a_1 \cdots a_{n-1}$ is n .

Example

- $|\varepsilon| = 0$, $|a| = 1$, $|abbba| = 5$.

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For each letter a , we have $|w|_a = \#\{\text{number of } a\text{'s in } w\}$.

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Proposition

For every word $w \in \mathcal{A}^*$ we have

$$|w| = \sum_{a \in \mathcal{A}} |w|_a.$$

Of beginnings, endings and everything in between

Definition

Let $w = pfs \in \mathcal{A}^*$ with $p, f, s \in \mathcal{A}^*$.

- f is a *factor* of w ,
- p is a *prefix* of w (*proper prefix* is $p \neq w$),
- s is a *suffix* of w (*proper suffix* if $s \neq w$),
- if $p, s \in \mathcal{A}^+$, f is an *internal factor* of w .

Example

$w = \text{nejblbější}$

Languages

Definition

A (finite or infinite) subset of \mathcal{A}^* is called a *language*.

Example

- $L_1 = \{a, b, aba, bb\}$,
- $L_2 = \{w \in \mathcal{A}^* : |w| < 10\}$,
- $L_3 = aba^* = \{ab, aba, abaa, abaaa, abaaa, \dots\}$,
- $L_4 = \{w \in \mathcal{A}^* : |w|_b = 1\}$.

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- $\mathcal{L}(abba) = \{\varepsilon, a, b, ab, ba, bb, abb, bba, abba\}$. ✓ by construction

Factor complexity

The *factor complexity* function of a word w is the map $C_w(n) : \mathcal{L}(w) \rightarrow \mathbb{N}$ counting the distinct factors of any length.

Example

$$w = \text{abaccb}$$

n	0	1	2	3	4	5	6	7	8	9	10	...
$C_w(n)$	1	3	5	4	3	2	1	0	0	0	0	...

$$\mathcal{L}(w) = \{\varepsilon, \underbrace{\text{a, b, c}}_3, \underbrace{\text{ab, ac, ba, cb, cc}}_5, \underbrace{\text{aba, acc, bac, ccb}}_4, \underbrace{\text{abac, accb, bacc}}_3, \underbrace{\text{abacc, baccb}}_2, w\}$$

Special factors

Definition

An element u of a language L (resp. a factor u of a word w) is *right-special* if $ua, ub \in L$ (resp. $ua, ub \in \mathcal{L}(w)$) for two different letters a, b .

Similar definition for *left-special*.

A factor *bispecial* if it is both left- and right-special.

Example

Let $w = abaccb$

- a is right-special, since $ab, ac \in \mathcal{L}(w)$;
- b is left-special, since $ab, cb \in \mathcal{L}(w)$;
- c is bispecial since it is both left-special and right-special ($ac, cc, cb \in \mathcal{L}(w)$).

Powers

Definition

The n^{th} -power of a word w is defined recursively as

$$w^0 = \varepsilon, \quad w^n = w^{n-1}w \text{ for every integer}^a n > 0.$$

When $n = 2$ (resp. $n = 3$) we call it a *square* (resp. a *cube*).

^aWhat if n is not an integer? Wait for Lubka's and Daniela's talks later.

Example

- If $w = \text{aba}$ then

$$w^0 = \varepsilon, \quad w^1 = \text{aba}, \quad w^2 = \text{abaaba}, \quad w^3 = \text{abaabaaba}, \quad \dots$$

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A word w is *primitive* if, whenever $w = u^k$ then $k = 1$ and $w = u$.

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Example

- If $w = \text{aba}$ then

$$w^0 = \varepsilon, \quad w^1 = \text{aba}, \quad w^2 = \text{abaaba}, \quad w^3 = \text{abaabaaba}, \quad \dots$$

- a , b , ab , babba are primitive, while aaa , abab are **NOT**.

Conjugated words

Definition

Two words $w, w' \in \mathcal{A}^+$ are *conjugated*, denoted $w \equiv w'$, if there exist $x, y \in \mathcal{A}^+$ s.t. $w = xy$ and $w' = yx$.

The *class of conjugacy* of w is $[w] = \{w' \mid w' \equiv w\}$

Example

- $aba \equiv aab, \quad abab \equiv baba.$
- $[aba] = \{aab, aba, baa\}, \quad [abab] = \{abab, baba\}.$

Oredered alphabets

Definition

Let us consider a total order $<$ on \mathcal{A} .

This order can be extended to \mathcal{A}^* , and it is called *lexicographical order*, by setting

$$u < v \iff \begin{array}{ll} v = us & s \in \mathcal{A}^* \\ \text{or} & \\ u = pas, v = pbt & p, s, t \in \mathcal{A}^*, a, b \in \mathcal{A}, a < b \end{array}$$

Example

If $\mathcal{A} = \{a, b, c\}$ and $a < b < c$, then

$$a < aab < ab < aba < b < bac < bb.$$



Lyndon words



Definition [R. Lyndon (1954), A. И. Ширшов (1953)]

A word $w \in \mathcal{A}^+$ is a *Lyndon word* (or *правильное слово*) if for all $p, s \in \mathcal{A}^+$ s.t. $w = ps$ one has one of the three following equivalent conditions:

1. $w < sp$,
2. $w < s$,
3. $p < s$.

Example

a, b, ab, aab, ababb are Lyndon words, while abab and ba are **NOT** .



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Proposition

A word w is Lyndon word **iff** w is primitive and smaller than all its conjugates.

Lyndon factorization

Theorem [Lyndon (1954)]

Each word $w \in \mathcal{A}^+$ can be factorized in a unique way as $w = \ell_1 \ell_2 \cdots \ell_n$, with ℓ_i Lyndon word for every i and $\ell_1 \geq \ell_2 \geq \cdots \geq \ell_n$.

Example

- aacab
- bc.bc.a
- b.abb.ab.a
- ab.a.a
- b.aaac.a

Do nekonečna a ještě dál

(see also Viola's talk just next)

Definition

An *infinite word* is a sequence $\mathbf{w} = a_0a_1a_2\cdots$, with a_i letters.

The set of all (right-)infinite words over \mathcal{A} is denoted by $\mathcal{A}^{\mathbb{N}}$.



Example

- $\mathbf{w} = \text{abbaaaaaaaaaaaaaaaaa} \cdots \in \{\mathbf{a}, \mathbf{b}\}^{\mathbb{N}}$;

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We can naturally extend the notions of *prefix*, *suffix*, *factor*, etc.



Example

- $\mathbf{w} = \text{abbaaaaaaaaaaaaaaaaa} \cdots \in \{\mathbf{a}, \mathbf{b}\}^{\mathbb{N}}$;
- ab is a proper prefix,
- \mathbf{w} is a prefix;
- baa is an internal factor,
- $\text{baaaaaaaaa} \cdots$ is a suffix;
- $\mathbf{a}^{\omega} = \text{aaaaa} \cdots$;
- $\mathcal{C}_{\mathbf{w}}(n) = 4$ for every $n \geq 2$ (Prove it!).

Recurrence and uniformly recurrence

Definition

A language \mathcal{L} is *recurrent* if for every $u, v \in \mathcal{L}$ there is a $w \in \mathcal{L}$ such that uwv is in \mathcal{L} .

Example (Fibonacci, see later)

$f = \text{abaababaabaababaababaababa} \dots$

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\mathcal{L} is *uniformly recurrent* if for every $u \in \mathcal{L}$ there exists an $n \in \mathbb{N}$ such that u is a factor of every word of length n in \mathcal{L} .

Example (Fibonacci, see later)

$f = \underline{abaa} \underline{ba} \underline{baab} \underline{aaba} \underline{baababaaba} \underline{ababa} \dots$

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Uniformly recurrence \implies Recurrence.

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Example (counter-example)

$$x = a.b.aa.ab.ba.bb.aaa.aab.aba.abb.baa \dots$$

is recurrent, but a^n is never a factor of b^m .

Morphisms

Definition

A *morphism* is a map $\psi : \mathcal{A}^* \rightarrow \mathcal{B}^*$ such that $\psi(uv) = \psi(u)\psi(v)$ for every $u, v \in \mathcal{A}^*$.

Example

$$\psi_1 : \begin{cases} a \rightarrow 010 \\ b \rightarrow 1 \end{cases}, \quad \psi_2 : \begin{cases} a \rightarrow ab \\ b \rightarrow b \end{cases}$$

Morphisms

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A *morphism* is a map $\psi : \mathcal{A}^* \rightarrow \mathcal{B}^*$ such that $\psi(uv) = \psi(u)\psi(v)$ for every $u, v \in \mathcal{A}^*$.

A *substitution* is a morphism $\psi : \mathcal{A} \rightarrow \mathcal{A}$ such that there exists a letter $a \in \mathcal{A}$ with $\psi(a) = as$ and $\lim_{n \rightarrow \infty} |\psi^n(a)| = \infty$. The word $\psi^\omega(a)$ is a *fixed point* of the substitution.

Example

$$\psi_1 : \begin{cases} a \rightarrow 010 \\ b \rightarrow 1 \end{cases}, \quad \psi_2 : \begin{cases} a \rightarrow ab \\ b \rightarrow b \end{cases}$$

$$\psi_2^\omega(a) = abbbbbbbbbbbbbbbbbbb \dots = ab^\omega.$$



Two important fixed points 1/2

Thue-Morse



The *Thue-Morse word* is defined as a fixed point

$$x = 01101001100101101001011001101001100101100110100 \dots$$

of the morphism

$$\theta : \begin{cases} 0 \mapsto 01 \\ 1 \mapsto 10 \end{cases}$$



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Definition

A morphism ψ is a *k-uniform* if $|\psi(a)| = k$ for every letter a .

Two important fixed points 2/2

Fibonacci



The *Fibonacci word* is defined as the fixed point

$$\mathbf{f} = \varphi^\omega(\mathbf{a}) = \text{abaababaabaababa} \dots$$

of the morphism

$$\varphi : \begin{cases} \mathbf{a} \mapsto \mathbf{ab} \\ \mathbf{b} \mapsto \mathbf{a} \end{cases}$$

The lengths of the prefixes $|\varphi^n(\mathbf{a})|_n = 0, 1, 2, 3, 5, 8, \dots$ are the *Fibonacci numbers*¹.



¹See Jan's talk on Saturday.

Sturmian words

(More about Sturmian words and Sturmian morphisms in Edita's talk on Sunday)

Definition

An infinite word \mathbf{w} is *Sturmian* if it has $n + 1$ distinct factors of length n for every $n \geq 0$.

Example (Fibonacci)

$\mathbf{f} = \text{abaababaabaababa} \dots$

$$\mathcal{L}(\mathbf{f}) = \left\{ \underbrace{\varepsilon}_1, \underbrace{a, b}_2, \underbrace{aa, ab, ba}_3, \underbrace{aab, aba, baa, bab}_4, \underbrace{aaba, abaa, abab, baab, baba, \dots}_5 \right\}$$



Arnoux-Rauzy words



Definition

An infinite word \mathbf{w} over an alphabet of k letters is an *Arnoux-Rauzy word* if

1. it has $(k - 1)n + 1$ distinct factors of length n for every $n \geq 0$;
2. for each length only one factor is right special; and
3. its set of factors is closed under reversal.

Example (Tribonacci: $\psi : a \mapsto ab, b \mapsto ac, c \mapsto a$)

$\mathbf{t} = abacabaabacababacabaabaca \dots$

$$\mathcal{L}(\mathbf{t}) = \left\{ \underbrace{\varepsilon}_1, \underbrace{a, b, c}_3, \underbrace{aa, ab, ac, ba, ca}_5, \underbrace{aab, aba, aca, baa, bab, bac, cab, \dots}_7 \right\}$$



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2. for each length **only one factor is right special**; and
3. its set of factors is closed under reversal.

Example (Tribonacci: $\psi : a \mapsto ab, b \mapsto ac, c \mapsto a$)

$\mathbf{t} = abacabaabacababacabaabaca \dots$

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$t = abacabaabacababacabaabaca \dots$

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Palindromes

(see Viola's talk for that too)

Definition

A *palindrome* is a finite word w that is equal to its reversal \tilde{w} .

Example

- kayak
- blb, krk, oko
- nepochopen

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Rich words

Theorem [Droubay, Justin, Pirillo (2001)]

A word of length n has at most $n + 1$ palindrome factors

A word with maximal number of palindromes is *rich*.

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A word with maximal number of palindromes is *rich*.

- $\mathcal{P}\{\text{pizza}\} = \{\varepsilon, \text{a}, \text{i}, \text{p}, \text{z}, \text{zz}\}$
 $\#\mathcal{P}\{\text{w}\} = 6 = |\text{w}| + 1$ ✓



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- $\mathcal{P}\{\text{ananas}\} = \{\varepsilon, \text{a}, \text{n}, \text{s}, \text{ana}, \text{nan}, \text{anana}\}$
 $\#\mathcal{P}\{\mathbf{w}\} = 7 = |\mathbf{w}| + 1$ ✓



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- $\mathcal{P}\{\text{pizza}\} = \{\varepsilon, a, i, p, z, zz\}$

$$\#\mathcal{P}\{w\} = 6 = |w| + 1 \quad \checkmark$$

- $\mathcal{P}\{\text{ananas}\} = \{\varepsilon, a, n, s, \text{ana}, \text{nan}, \text{anana}\}$

$$\#\mathcal{P}\{w\} = 7 = |w| + 1 \quad \checkmark$$

- $\mathcal{P}\{\text{hawaiianpizza}\} = \{\varepsilon, a, h, i, n, p, w, z, \text{ii}, \text{zz}, \text{awa}, \text{aia}\}$

$$\#\mathcal{P}\{w\} = 12 < 13 = |w| + 1 \quad \times$$



Rich words and rich sets

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A factorial set is *rich* if all its elements are rich.

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- Arnoux-Rauzy words

[Droubay, Justin, Pirillo (2001)]

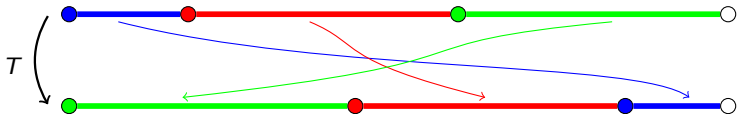
$$\mathbf{f} = \varphi^\omega(\mathbf{a}) = \mathbf{abaababaabaababaababaababaababaababaababaab} \dots$$

$$\text{where } \varphi = \begin{cases} \mathbf{a} \rightarrow \mathbf{ab} \\ \mathbf{b} \rightarrow \mathbf{a} \end{cases}$$

Rich words and rich sets

An infinite word w is *rich* if all its finite prefixes are rich.
A factorial set is *rich* if all its elements are rich.

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[Droubay, Justin, Pirillo (2001)]
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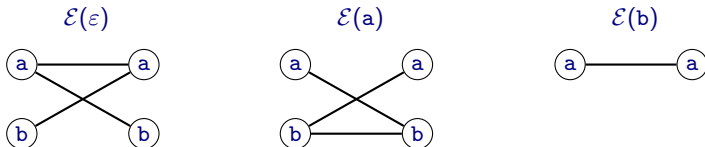
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$$\mathcal{L}(\mathbf{f}) = \{\varepsilon, a, b, aa, ab, ba, aab, aba, baa, bab, \dots\}$$

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- Complementary-symmetric Rote words
[Blondin-Massé, Brlek, Labbé, Vuillon (2011)]
- Languages closed under reversal with factor complexity $\mathcal{C}(n) = 2n + 1$
[Balková, Pelantová, Starosta (2009)]
- etc.

Děkuji za pozornost!

