

*Return words and bifix codes
in eventually dendric sets*

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Fibonacci



$$x = \text{abaababaabaababa} \dots$$

$$x = \lim_{n \rightarrow \infty} \varphi^n(a) \quad \text{where} \quad \varphi : \begin{cases} a \mapsto ab \\ b \mapsto a \end{cases}$$





Fibonacci



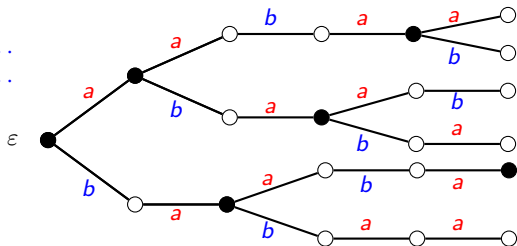
$$\mathbf{x} = \text{abaababaabaababa} \dots$$

The *Fibonacci set* (set of factors of \mathbf{x}) is a Sturmian set.

Definition

A *Sturmian* set $S \subset \mathcal{A}^*$ is a factorial set such that $p_n = \text{Card}(S \cap \mathcal{A}^n) = n + 1$.

$n :$	0	1	2	3	4	5	...
$p_n :$	1	2	3	4	5	6	...



2-coded Fibonacci

$x = ab\ aa\ ba\ ba\ ab\ aa\ ba\ ba \dots$

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$$f : \begin{cases} u \mapsto aa \\ v \mapsto ab \\ w \mapsto ba \end{cases}$$

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$$x = ab \text{ } aa \text{ } ba \text{ } ba \text{ } ab \text{ } aa \text{ } ba \text{ } ba \cdots$$

$$f^{-1}(x) = v \text{ } u \text{ } w \text{ } w \text{ } v \text{ } u \text{ } w \text{ } w \cdots$$

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Arnoux-Rauzy sets



Definition

An *Arnoux-Rauzy* set is a factorial set closed by reversal with $p_n = (\text{Card}(\mathcal{A}) - 1)n + 1$ having a unique right special factor for each length.



Arnoux-Rauzy sets



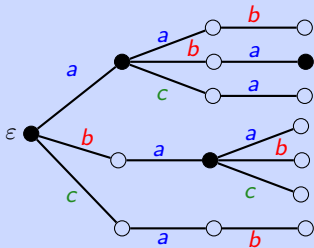
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Example (Tribonacci)

Factors of the fixed point $\psi^\omega(a)$ of the morphism

$$\psi : a \mapsto ab, \quad b \mapsto ac, \quad c \mapsto a.$$



$$n : 0 \quad 1 \quad 2 \quad 3 \quad \dots$$

$$p_n : 1 \quad 3 \quad 5 \quad 7 \quad \dots$$

$$p_n = 2n + 1$$

2-coded Fibonacci

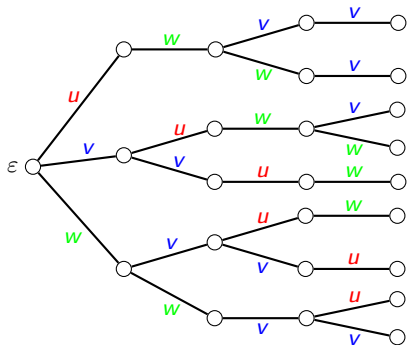
$$f^{-1}(x) = v u w w v u w w \dots$$

Is the set of factors of $f^{-1}(x)$ an Arnoux-Rauzy set?

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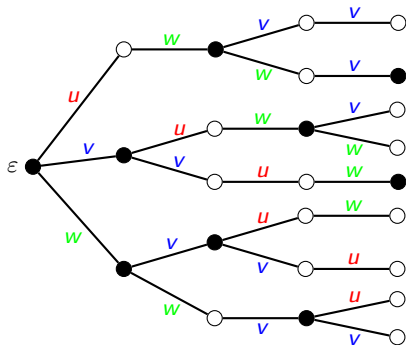
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p_n :	1	3	5	7	9	...

2-coded Fibonacci

$$f^{-1}(x) = v u w w v u w w \dots$$

Is the set of factors of $f^{-1}(x)$ an Arnoux-Rauzy set? **No!**



$$p_n = 2n + 1$$

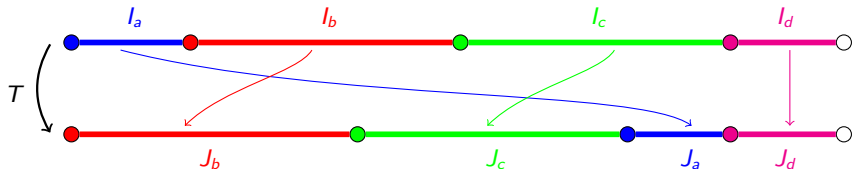
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Interval exchanges

Let $(I_\alpha)_{\alpha \in A}$ and $(J_\alpha)_{\alpha \in A}$ be two partitions of $[0, 1[$.

An *interval exchange transformation* (IET) is a map $T : [0, 1[\rightarrow [0, 1[$ defined by

$$T(z) = z + y_\alpha \quad \text{if } z \in I_\alpha.$$

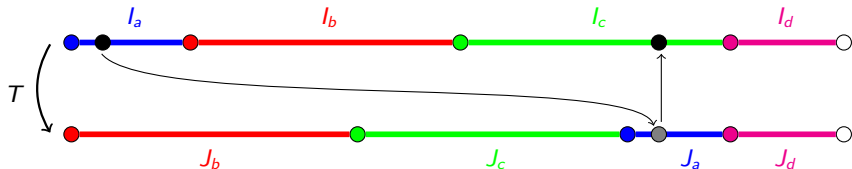


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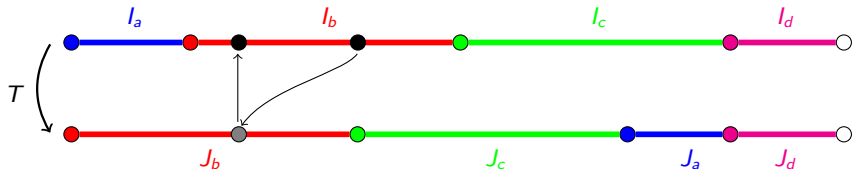


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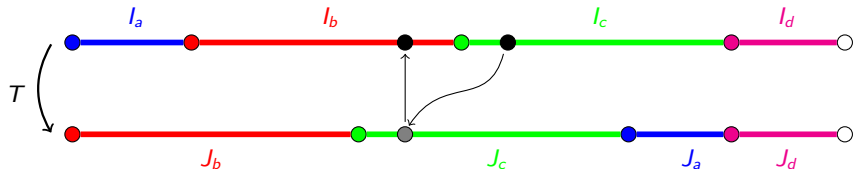


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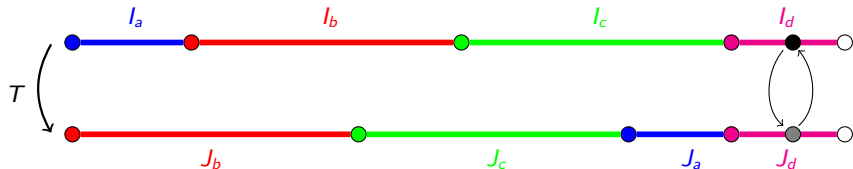


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T is said to be *minimal* if for any point $z \in [0, 1[$ the orbit $\mathcal{O}(z) = \{T^n(z) \mid n \in \mathbb{Z}\}$ is dense in $[0, 1[$.

T is said *regular* if the orbits of the non-zero separation points are infinite and disjoint.

Theorem [Keane (1975)]

A regular interval exchange transformation is minimal.

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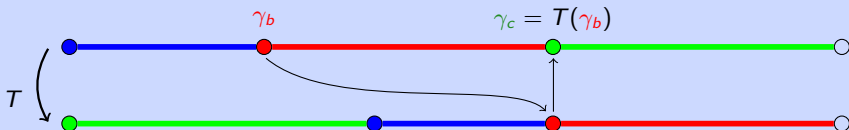
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Example (the converse is not true)

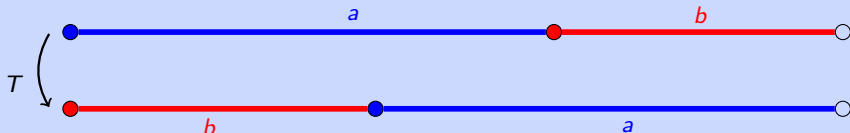


Interval exchanges

The *natural coding* of T relative to $z \in [0, 1]$ is the infinite word $\Sigma_T(z) = a_0 a_1 \dots \in \mathcal{A}^\omega$ defined by

$$a_n = \alpha \quad \text{if } T^n(z) \in I_\alpha.$$

Example (Fibonacci, $z = (3 - \sqrt{5})/2$)

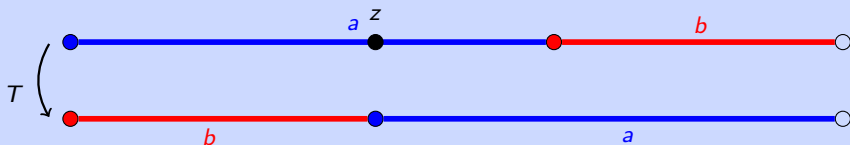


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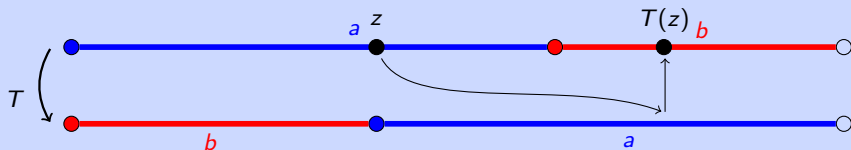
$$\Sigma_T(z) = a$$

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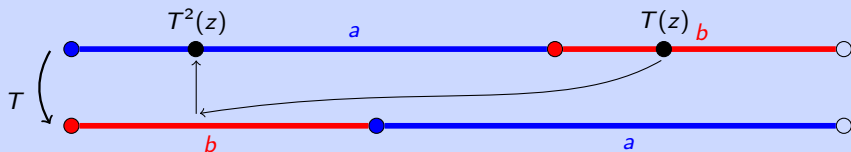
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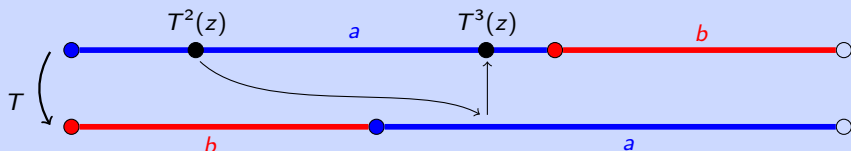
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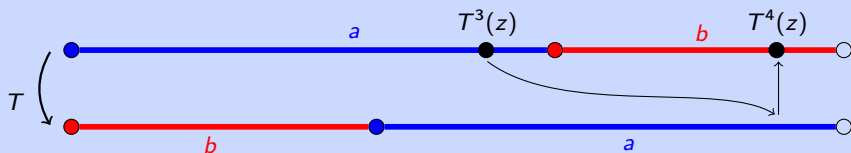
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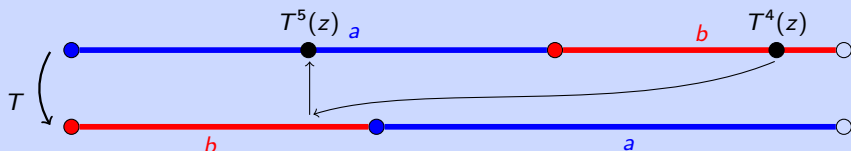
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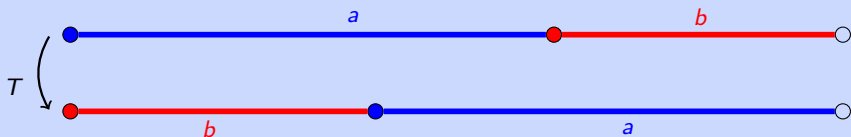
$$\Sigma_T(z) = \mathbf{a} \mathbf{b} \mathbf{a} \mathbf{a} \mathbf{b} \mathbf{a} \dots$$

Interval exchanges

The set $\mathcal{L}(T) = \bigcup_{z \in [0,1[} \text{Fac}(\Sigma_T(z))$ is said a (*minimal, regular*) *interval exchange set*.

Remark. If T is minimal, $\text{Fac}(\Sigma_T(z))$ does not depend on the point z .

Example (Fibonacci)



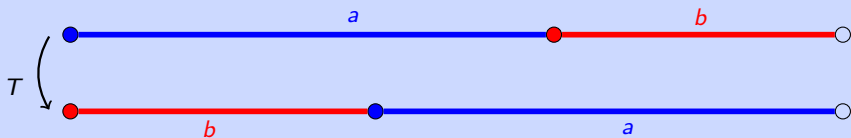
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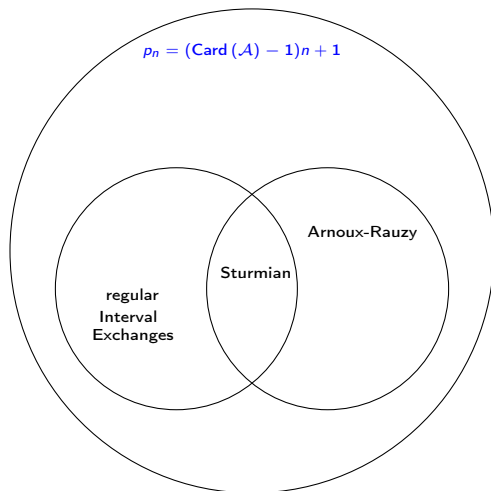


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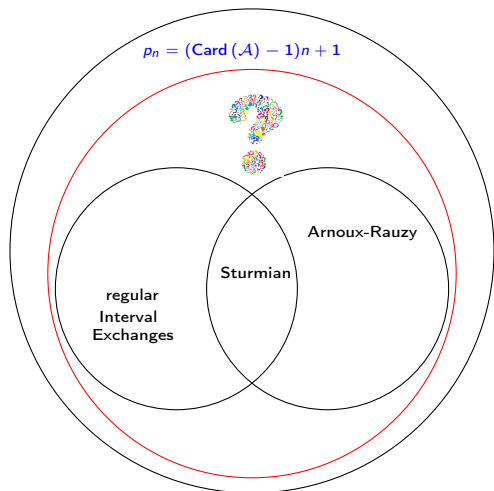
Proposition

Regular interval exchange sets have factor complexity $p_n = (\text{Card}(\mathcal{A}) - 1)n + 1$.

Arnoux-Rauzy and Interval exchanges



Arnoux-Rauzy and Interval exchanges

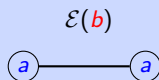
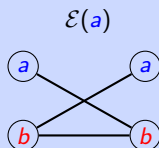
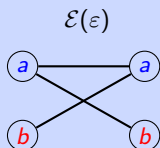


Extension graphs

The *extension graph* of a word $w \in \mathcal{L}$ is the undirected bipartite graph $\mathcal{E}(w)$ with vertices $L(w) \sqcup R(w)$ and edges $B(w)$, where

$$\begin{aligned}L(w) &= \{u \in \mathcal{A} \mid uw \in \mathcal{L}\} \\R(w) &= \{v \in \mathcal{A} \mid wv \in \mathcal{L}\} \\B(w) &= \{(u, v) \in \mathcal{A} \times \mathcal{A} \mid uwv \in \mathcal{L}\}\end{aligned}$$

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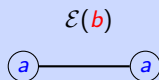
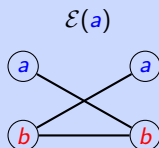
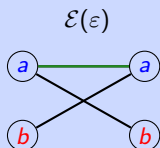


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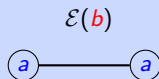
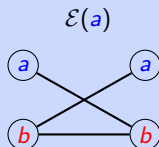
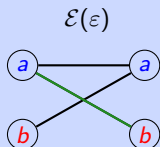


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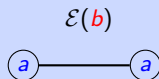
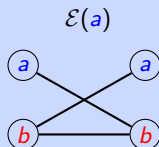
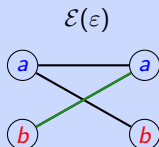


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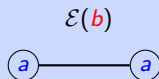
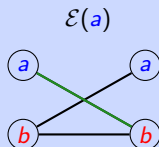
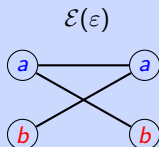


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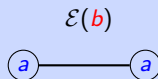
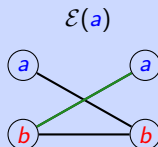
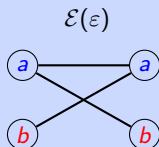


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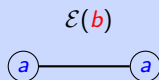
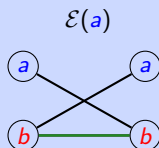
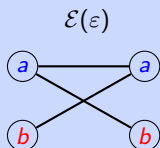


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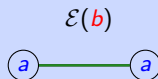
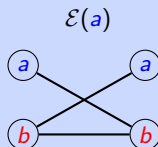
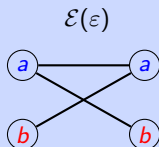


Extension graphs

The *extension graph* of a word $w \in \mathcal{L}$ is the undirected bipartite graph $\mathcal{E}(w)$ with vertices $L(w) \sqcup R(w)$ and edges $B(w)$, where

$$\begin{aligned}L(w) &= \{u \in \mathcal{A} \mid uw \in \mathcal{L}\} \\R(w) &= \{v \in \mathcal{A} \mid wv \in \mathcal{L}\} \\B(w) &= \{(u, v) \in \mathcal{A} \times \mathcal{A} \mid uwv \in \mathcal{L}\}\end{aligned}$$

Example (Fibonacci, $\mathcal{L} = \{\varepsilon, a, b, aa, ab, ba, aab, aba, baa, bab, \dots\}$)



Extension graphs

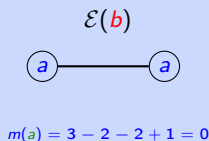
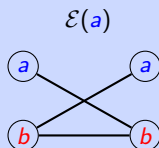
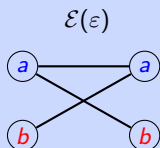
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The *multiplicity* of a word w is the quantity

$$m(w) = \text{Card}(B(w)) - \text{Card}(L(w)) - \text{Card}(R(w)) + 1.$$

Example (Fibonacci, $\mathcal{L} = \{\varepsilon, a, b, aa, ab, ba, aab, aba, baa, bab, \dots\}$)

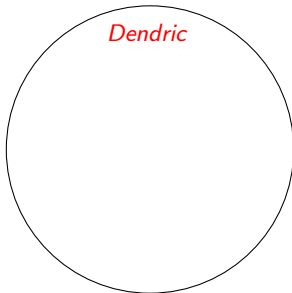




Dendric and neutral sets

Definition

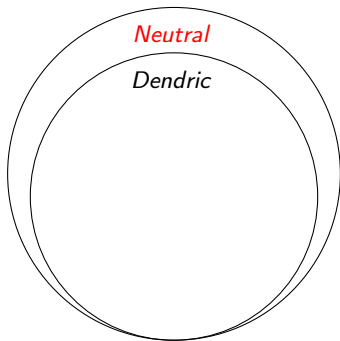
A language \mathcal{L} is called (purely) *dendric* if the graph $\mathcal{E}(w)$ is a tree for any $w \in \mathcal{L}$.



Dendric and neutral sets

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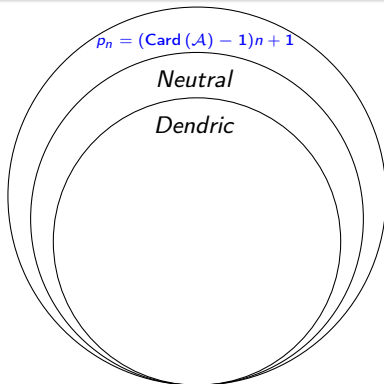
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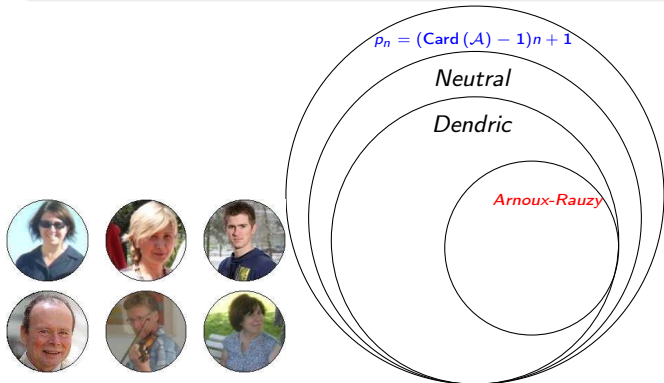
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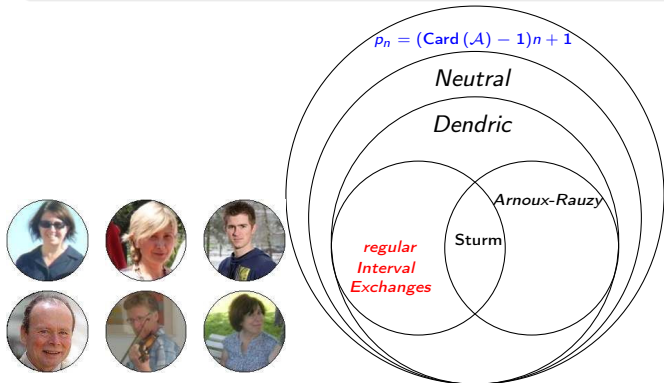


[Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone: "Acyclic, connected and tree sets" (2014).]

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[Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone: "Bifix codes and interval exchanges" (2015).]

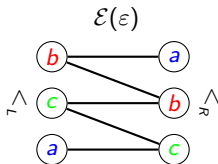
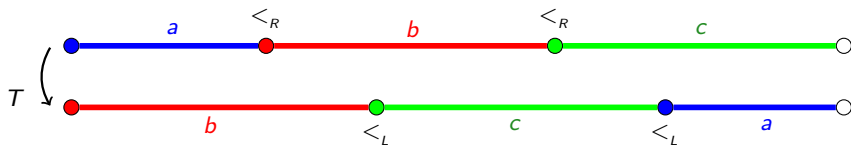


Planar dendric sets



Theorem [S. Ferenczi, L. Zamboni (2008)]

A set S is a regular interval exchange set if and only if it is a recurrent *planar dendric set*.



Eventually dendric sets

Definition

A language \mathcal{L} is called *eventually dendric* with *threshold* $m \geq 0$ if the graph $\mathcal{E}(w)$ is a tree for any $w \in \mathcal{L}^{\geq m}$.

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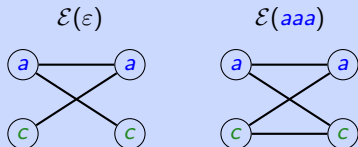
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Example (coding of Tribonacci)

Let us consider the set $\alpha(S)$, where $\alpha : a, b \mapsto a, \quad c \mapsto c$.



The extension graph of all words of length at least 4 is a tree. (Just trust me!)

Eventually dendric sets

Complexity

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Proposition [D., Perrin (2019)]

Let \mathcal{L} be eventually dendric. Then s_n is eventually constant.

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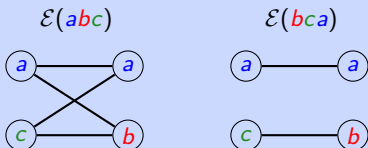
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Example (the converse is not true)

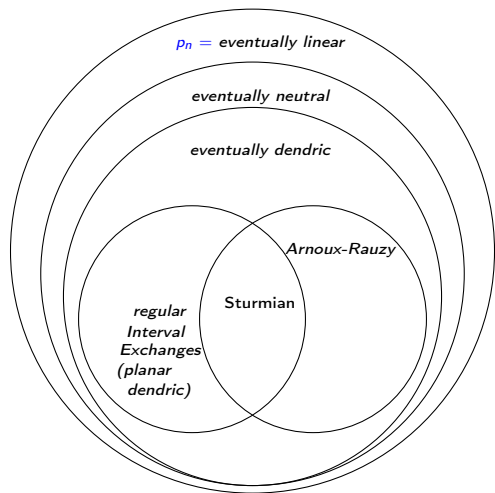
The *Chacon ternary set* is the language arising from the morphism

$$\varphi : a \mapsto abc, \quad b \mapsto bc, \quad c \mapsto abc.$$

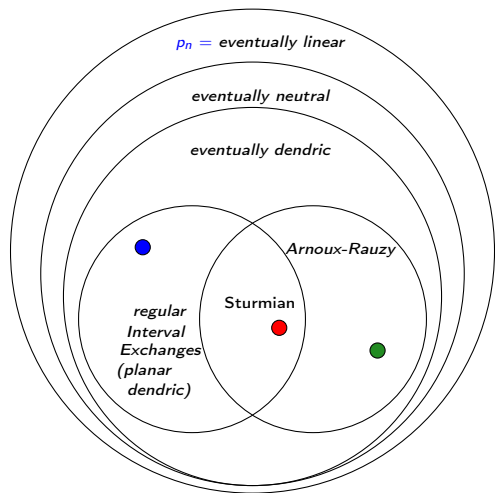
One has $p_n = 2n + 1$ ($\Rightarrow s_n = 2$). **BUT** for infinitely many pairs of words:



Eventually dendric and eventually neutral sets

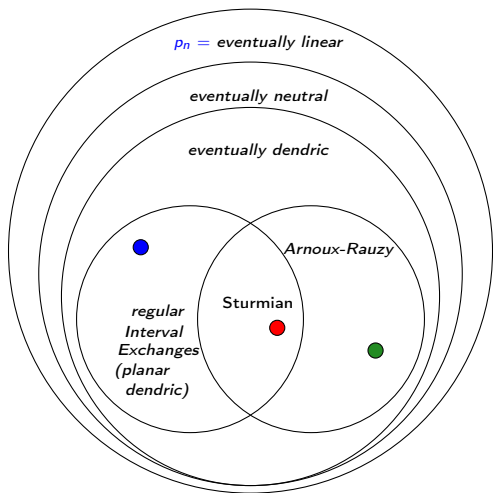


Eventually dendric and eventually neutral sets



- Fibonacci
- Tribonacci
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Eventually dendric and eventually neutral sets



- Fibonacci
- ? 2-coded Fibonacci
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Bifix codes

Definition

A *bifix code* is a set $B \subset \mathcal{A}^+$ of nonempty words that does not contain any proper prefix or suffix of its elements.

Example

✓ { *aa*, *ab*, *ba* }

✓ { *aa*, *ab*, *bba*, *bbb* }

✓ { *ac*, *bcc*, *bc**b**ca* }

✗ { *even*, *eventually*, *dendric* }

✗ { *borough*, *district*, *lough**borough* }

✗ { *stone*, *stone**y**well*, *well* }

Bifix codes

Definition

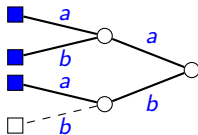
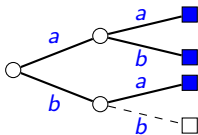
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A bifix code $B \subset S$ is *S-maximal* if it is not properly contained in a bifix code $C \subset S$.

Example (Fibonacci)

The set $B = \{aa, ab, ba\}$ is an *S*-maximal bifix code.

It is not an \mathcal{A}^* -maximal bifix code, since $B \subset B \cup \{bb\}$.



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A *coding morphism* for a bifix code $B \subset A^+$ is a morphism $f : B^* \rightarrow A^*$ which maps bijectively B onto B .

Example

The map $f : \{u, v, w\}^* \rightarrow \{a, b\}^*$ is a coding morphism for $B = \{aa, ab, ba\}$.

$$f : \begin{cases} u \mapsto aa \\ v \mapsto ab \\ w \mapsto ba \end{cases}$$

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When S is factorial and B is an S -maximal bifix code, the set $f^{-1}(S)$ is called a *maximal bifix decoding* of S .

Recurrence and uniform recurrence

Definition

A language \mathcal{L} is *recurrent* if for every $u, v \in \mathcal{L}$ there is a $w \in \mathcal{L}$ such that uwv is in \mathcal{L} .

\mathcal{L} is *uniformly recurrent* if for every $u \in S$ there exists an $n \in \mathbb{N}$ such that u is a factor of every word of length n in S .

Example (Fibonacci)

$$x = \underbrace{abaa}_4 \underbrace{ba}_4 \underbrace{baab}_4 \underbrace{aba}_4 \underbrace{baababaaba}_4 \underbrace{abab}_4 a \dots$$

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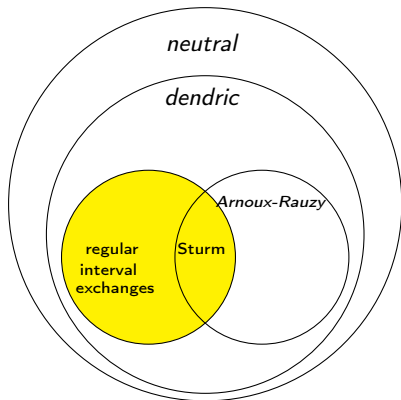
Proposition

Uniform recurrence \implies Recurrence.

Maximal bifix decoding

Theorem [Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone (2014)]

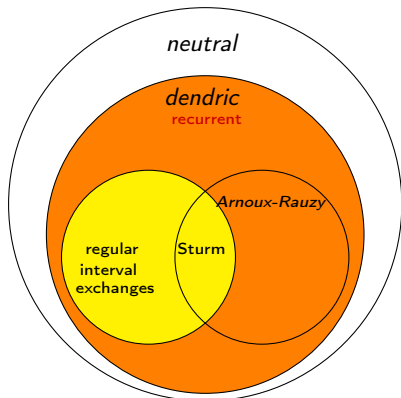
The family of **regular interval exchanges sets** is closed under maximal bifix decoding.



Maximal bifix decoding

Theorem [Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone (2014, 2015)]

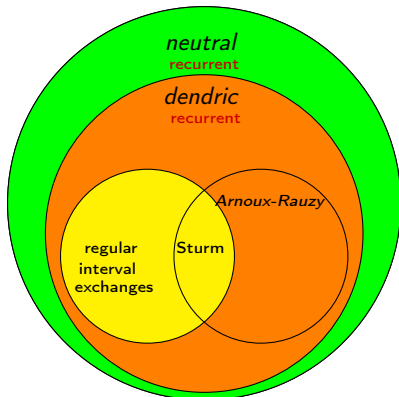
The family of *recurrent dendric sets* is closed under maximal bifix decoding.



Maximal bifix decoding

Theorem [Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone (2014, 2015); D., Perrin (2016)]

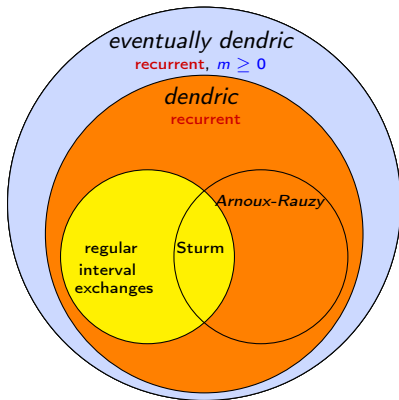
The family of *recurrent neutral sets* is closed under maximal bifix decoding.



Maximal bifix decoding

Theorem [Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone (2014, 2015); D., Perrin (2016, 2019)]

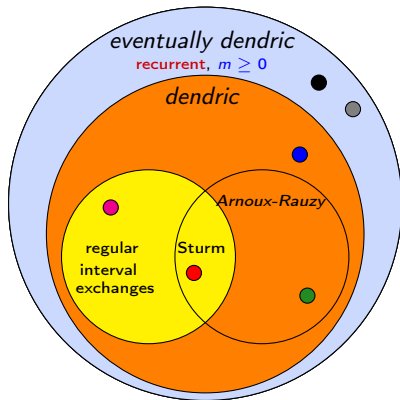
The family of *recurrent eventually dendric sets of threshold m* is closed under maximal bifix decoding.



Maximal bifix decoding

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- Fibonacci
- 2-coded Fibonacci
- Tribonacci
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- α (Tribonacci)
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Return words

A (*right*) *return word* to w in \mathcal{L} is a nonempty word u such that $wu \in \mathcal{L}$ starts and ends with w but has no w as an internal factor. Formally,

$$\mathcal{R}(w) = \{u \in A^+ \mid wu \in \mathcal{L} \cap (A^+w \setminus A^+wA^+)\}$$

Example (Fibonacci)

$$\mathcal{R}(b) = \{\underline{a}b, a\underline{a}b\}$$

$$\varphi(a)^\omega = aba\underline{b}a\underline{b}aabaababaab\underline{b}a\underline{b}aabaabaab \dots$$

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Example (Fibonacci)

$$\mathcal{R}(aa) = \{\underline{baa}, \underline{babaa}\}$$

$$\varphi(a)^{\omega} = abaa\underline{babaa}baababababaa\underline{babaa}babaabaab \dots$$



Cardinality of return words

Theorem [Vuillon (2001)]

Let \mathcal{L} be a **Sturmian set**. For every $w \in \mathcal{L}$, one has

$$\text{Card}(\mathcal{R}(w)) = 2.$$



Cardinality of return words



Theorem [Vuillon (2001); Balková, Pelantová, Steiner (2008)]

Let \mathcal{L} be a recurrent **neutral set**. For every $w \in \mathcal{L}$, one has

$$\text{Card}(\mathcal{R}(w)) = \text{Card}(A).$$



Cardinality of return words



Theorem [Vuillon (2001); Balková, Pelantová, Steiner (2008); D., Perrin (2019)]

Let \mathcal{L} be a recurrent **eventually neutral set** with threshold m . For every $w \in \mathcal{L}^{\geq m}$, one has

$$\text{Card}(\mathcal{R}(w)) = 1 + \sum_{|u| < m} (\text{Card}(\mathcal{R}(u)) - 1).$$



Cardinality of return words



Theorem [Vuillon (2001); Balková, Pelantová, Steiner (2008); D., Perrin (2019)]

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Corollary

An eventually neutral (dendric) set is recurrent **if and only if** it is uniformly recurrent

Proof. A recurrent set \mathcal{L} is uniformly recurrent if and only if $\mathcal{R}(w)$ is finite for all $w \in \mathcal{L}$.

Open questions

- ▶ Is there a finite S -adic representation for recurrent eventually dendric sets ?
[When the set is *purely* dendric, there is one.]
- ▶ Subgroup generated by sets of return words in an eventually dendric set ?
[For a dendric set, $\mathcal{R}(w)$ is a basis of the free group on \mathcal{A} .]
- ▶ Decidability of the (eventually) dendric condition.
[Work in progress with [Revekka Kyriakoglou](#) and [Julien Leroy](#)]

Thank you