

# *Palindromes and Tree Sets*

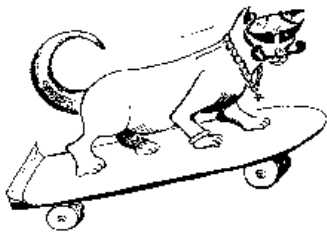
Francesco DOLCE

LaCIM

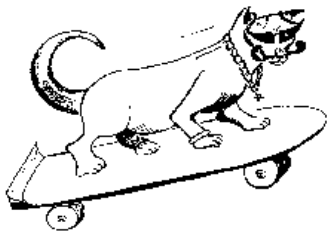
UQÀM

*Secondo Incontro di Combinatoria delle Parole*

Palermo, 20 gennaio 2017



GOFLOWOLFOG



## GOFLOWOLFOG

*" You can summon him by trying to take on his characteristics - relaxing, fantasising that you're 'cool', and letting go of your frustration momentarily. Visualise him zipping along on his skateboard, accompanied by a slight breeze and his Mantra : 'Neeooooow' . "*

[[philhine.org.uk](http://philhine.org.uk)]

# Palindromes

A *palindrome* is a word  $w = \tilde{w}$  as, for instance :



In girum imus nocte et consumimur igni, ...

## Palindromes

A *palindrome* is a word  $w = \tilde{w}$  as, for instance :



In girum imus nocte et consumimur igni, ...



eye, noon, sagas, racecar, ...



ici, été, coloc, kayak ...



saippuakivikauppias, ...

# Palindromes

A *palindrome* is a word  $w = \tilde{w}$  as, for instance :



In girum imus nocte et consumimur igni, ...



eye, noon, sagas, racecar, ...



ici, été, coloc, kayak ...



saippuakivikauppias, ...



E LE MIE SEI MELE ?



## Full words

Theorem [X. Droubay, J. Justin, G. Pirillo (2001)]

A word of length  $n$  has at most  $n + 1$  palindrome factors

A word with maximal number of palindromes is *rich* (or *full*).

## Full words

Theorem [X. Droubay, J. Justin, G. Pirillo (2001)]

A word of length  $n$  has at most  $n + 1$  palindrome factors

A word with maximal number of palindromes is *rich* (or *full*).

### Example

- ANANAS, BANANA, LAMPONE, SUSINA are rich.
- ALBICOCCA, ANGURIA, FRAGOLA, MELONE are not rich.



$$|\text{SUSINA}| = 6 \quad \text{and} \quad \text{Card}(\{\varepsilon, A, I, N, S, U, \text{SUS}\}) = 7$$

$$|\text{ALBICOCCA}| = 9 \quad \text{and} \quad \text{Card}(\{\varepsilon, A, B, C, I, L, O, \text{CC}, \text{COC}\}) = 9$$





## Full words

Theorem [X. Droubay, J. Justin, G. Pirillo (2001)]

A word of length  $n$  has at most  $n + 1$  palindrome factors

A word with maximal number of palindromes is *rich* (or *full*).  
A factorial set is *rich* if all its elements are rich.

### Example (Fibonacci)

Let  $S$  be the set of factors of the fixed-point  $\varphi^\omega(a)$  of

$$\varphi : a \mapsto ab, \quad b \mapsto a.$$

Every word  $w \in S$  is rich. For instance,

$$\text{Pal}(abaab) = \{\varepsilon, a, b, aa, aba, baab\}.$$

# Arnoux-Rauzy sets

## Definition

An *Arnoux-Rauzy* set is a factorial set closed under reversal with  $p_n = (\text{Card}(A) - 1)n + 1$  having a unique right special factor for each length.

## Examples

- **Fibonacci** : factors of the fixed-point  $\varphi^\omega(a)$ , where  $\varphi : \begin{cases} a \mapsto ab \\ b \mapsto a \end{cases}$  .
- **Tribonacci** : factors of the fixed-point  $\psi^\omega(a)$ , where  $\psi : \begin{cases} a \mapsto ab \\ b \mapsto ac \\ c \mapsto a \end{cases}$  .

# Arnoux-Rauzy sets

## Definition

An *Arnoux-Rauzy* set is a factorial set closed under reversal with  $p_n = (\text{Card}(A) - 1)n + 1$  having a unique right special factor for each length.

## Examples

- **Fibonacci** : factors of the fixed-point  $\varphi^\omega(a)$ , where  $\varphi : \begin{cases} a \mapsto ab \\ b \mapsto a \end{cases}$  .
- **Tribonacci** : factors of the fixed-point  $\psi^\omega(a)$ , where  $\psi : \begin{cases} a \mapsto ab \\ b \mapsto ac \\ c \mapsto a \end{cases}$  .

**Theorem** [X. Droubay, J. Justin, G. Pirillo (2001)]

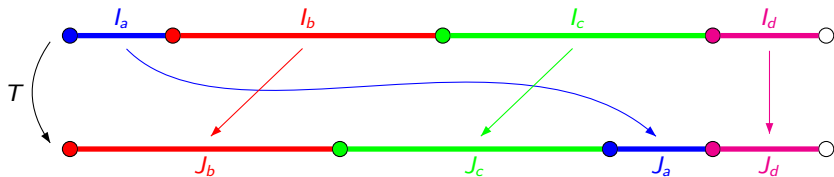
Arnoux-Rauzy sets are rich.

# Interval exchanges

Let  $(I_\alpha)_{\alpha \in A}$  and  $(J_\alpha)_{\alpha \in A}$  be two partitions of  $[0, 1[$ .

An *interval exchange transformation* (IET) is a map  $T : [0, 1[ \rightarrow [0, 1[$  defined by

$$T(z) = z + y_\alpha \quad \text{if } z \in I_\alpha.$$

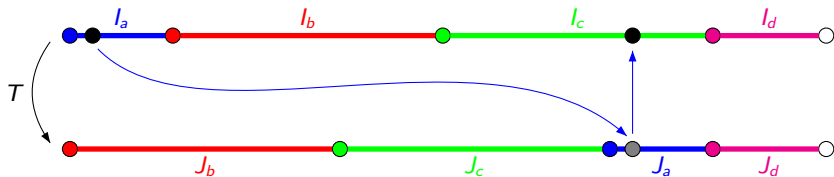


# Interval exchanges

Let  $(I_\alpha)_{\alpha \in A}$  and  $(J_\alpha)_{\alpha \in A}$  be two partitions of  $[0, 1[$ .

An *interval exchange transformation* (IET) is a map  $T : [0, 1[ \rightarrow [0, 1[$  defined by

$$T(z) = z + y_\alpha \quad \text{if } z \in I_\alpha.$$

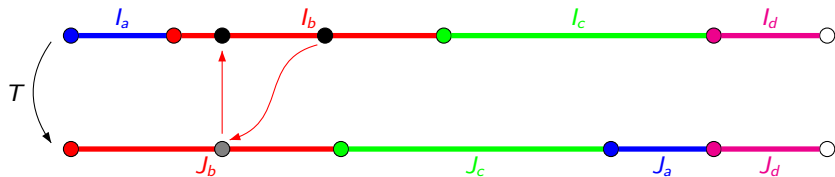


# Interval exchanges

Let  $(I_\alpha)_{\alpha \in A}$  and  $(J_\alpha)_{\alpha \in A}$  be two partitions of  $[0, 1[$ .

An *interval exchange transformation* (IET) is a map  $T : [0, 1[ \rightarrow [0, 1[$  defined by

$$T(z) = z + y_\alpha \quad \text{if } z \in I_\alpha.$$

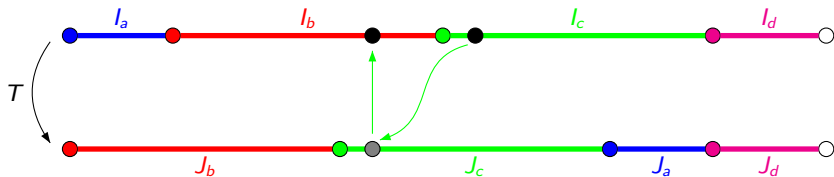


# Interval exchanges

Let  $(I_\alpha)_{\alpha \in A}$  and  $(J_\alpha)_{\alpha \in A}$  be two partitions of  $[0, 1[$ .

An *interval exchange transformation* (IET) is a map  $T : [0, 1[ \rightarrow [0, 1[$  defined by

$$T(z) = z + y_\alpha \quad \text{if } z \in I_\alpha.$$

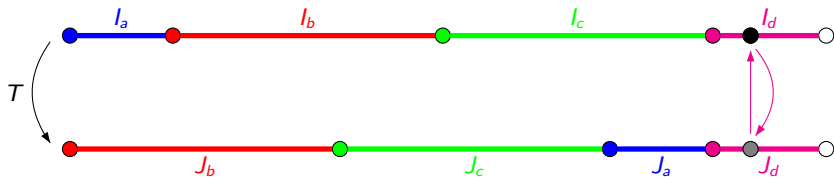


# Interval exchanges

Let  $(I_\alpha)_{\alpha \in A}$  and  $(J_\alpha)_{\alpha \in A}$  be two partitions of  $[0, 1[$ .

An *interval exchange transformation* (IET) is a map  $T : [0, 1[ \rightarrow [0, 1[$  defined by

$$T(z) = z + y_\alpha \quad \text{if } z \in I_\alpha.$$





## Interval exchanges

$T$  is said to be *minimal* if for any point  $z \in [0, 1[$  the orbit  $\mathcal{O}(z) = \{T^n(z) \mid n \in \mathbb{Z}\}$  is dense in  $[0, 1[$ .

$T$  is said *regular* if the orbits of the separation points  $\neq 0$  are infinite and disjoint.

**Theorem** [M. Keane (1975)]

A regular interval exchange transformation is minimal.

## Interval exchanges

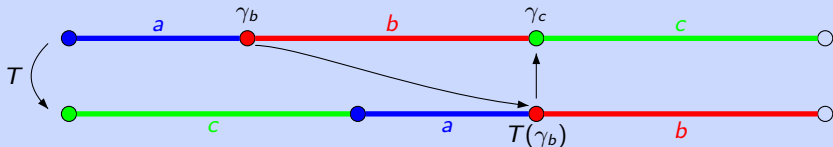
$T$  is said to be *minimal* if for any point  $z \in [0, 1[$  the orbit  $\mathcal{O}(z) = \{T^n(z) \mid n \in \mathbb{Z}\}$  is dense in  $[0, 1[$ .

$T$  is said *regular* if the orbits of the separation points  $\neq 0$  are infinite and disjoint.

**Theorem** [M. Keane (1975)]

A regular interval exchange transformation is minimal.

**Example** (the converse is not true)

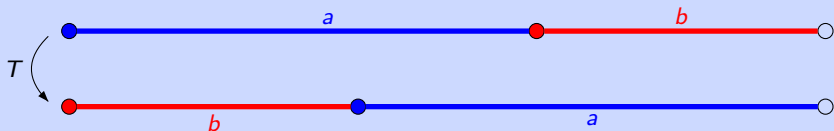


## Interval exchanges

The *natural coding* of  $T$  relative to  $z \in [0, 1[$  is the infinite word  $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$  defined by

$$a_n = \alpha \quad \text{if } T^n(z) \in I_\alpha.$$

Example (Fibonacci,  $z = (3 - \sqrt{5})/2$ )

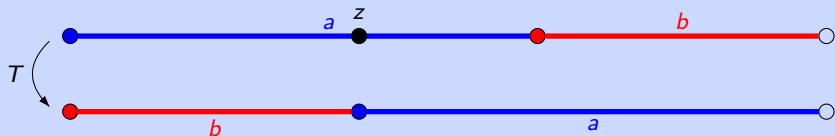


## Interval exchanges

The *natural coding* of  $T$  relative to  $z \in [0, 1[$  is the infinite word  $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$  defined by

$$a_n = \alpha \quad \text{if } T^n(z) \in I_\alpha.$$

Example (Fibonacci,  $z = (3 - \sqrt{5})/2$ )



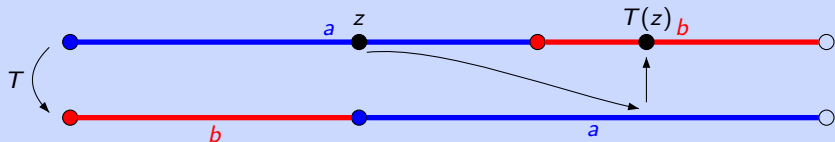
$$\Sigma_T(z) = a$$

## Interval exchanges

The *natural coding* of  $T$  relative to  $z \in [0, 1[$  is the infinite word  $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$  defined by

$$a_n = \alpha \quad \text{if } T^n(z) \in I_\alpha.$$

Example (Fibonacci,  $z = (3 - \sqrt{5})/2$ )



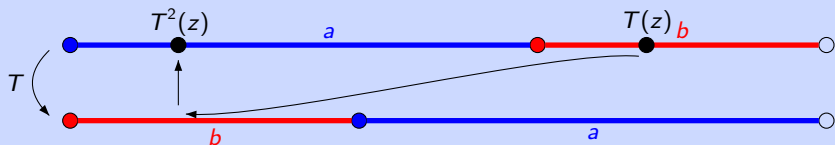
$$\Sigma_T(z) = ab$$

## Interval exchanges

The *natural coding* of  $T$  relative to  $z \in [0, 1[$  is the infinite word  $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$  defined by

$$a_n = \alpha \quad \text{if } T^n(z) \in I_\alpha.$$

Example (Fibonacci,  $z = (3 - \sqrt{5})/2$ )



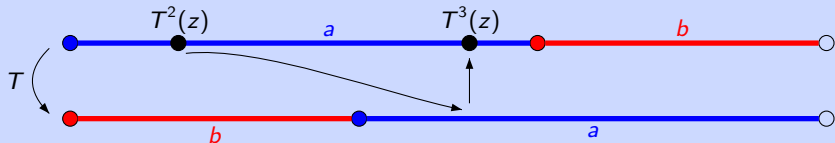
$$\Sigma_T(z) = a b a$$

## Interval exchanges

The *natural coding* of  $T$  relative to  $z \in [0, 1[$  is the infinite word  $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$  defined by

$$a_n = \alpha \quad \text{if } T^n(z) \in I_\alpha.$$

Example (Fibonacci,  $z = (3 - \sqrt{5})/2$ )



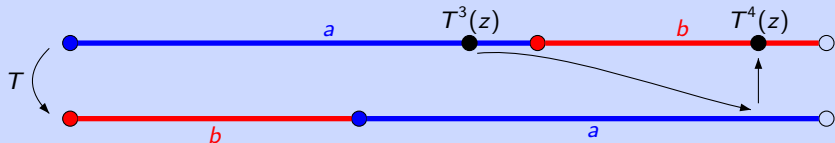
$$\Sigma_T(z) = a b a a$$

## Interval exchanges

The *natural coding* of  $T$  relative to  $z \in [0, 1[$  is the infinite word  $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$  defined by

$$a_n = \alpha \quad \text{if } T^n(z) \in I_\alpha.$$

Example (Fibonacci,  $z = (3 - \sqrt{5})/2$ )



$$\Sigma_T(z) = a b a a b$$

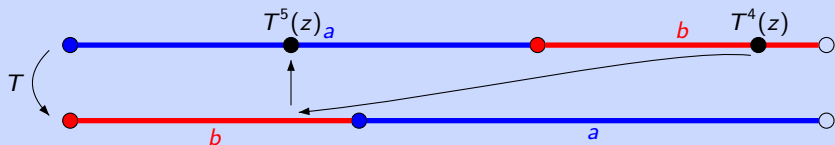


## Interval exchanges

The *natural coding* of  $T$  relative to  $z \in [0, 1[$  is the infinite word  $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$  defined by

$$a_n = \alpha \quad \text{if } T^n(z) \in I_\alpha.$$

Example (Fibonacci,  $z = (3 - \sqrt{5})/2$ )



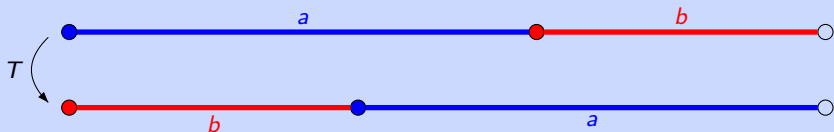
$$\Sigma_T(z) = a b a a b a \cdots$$

## Interval exchanges

The set  $\mathcal{L}(T) = \bigcup_{z \in [0,1[} \text{Fac}(\Sigma_T(z))$  is said a (*minimal, regular*) *interval exchange set*.

Remark. If  $T$  is minimal,  $\text{Fac}(\Sigma_T(z))$  does not depend on the point  $z$ .

### Example (Fibonacci)



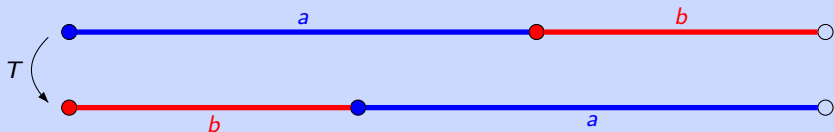
$$\mathcal{L}(T) = \{ \varepsilon, a, b, aa, ab, ba, aab, aba, baa, \dots \}$$

## Interval exchanges

The set  $\mathcal{L}(T) = \bigcup_{z \in [0,1[} \text{Fac}(\Sigma_T(z))$  is said a (*minimal, regular*) *interval exchange set*.

Remark. If  $T$  is minimal,  $\text{Fac}(\Sigma_T(z))$  does not depend on the point  $z$ .

### Example (Fibonacci)



$$\mathcal{L}(T) = \{ \varepsilon, a, b, aa, ab, ba, aab, aba, baa, \dots \}$$

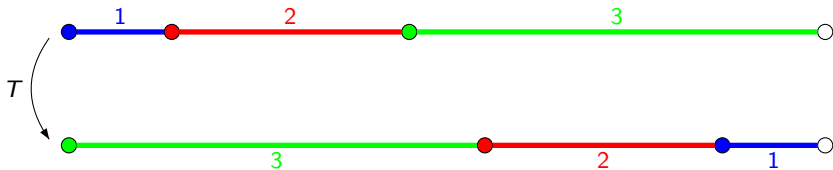
### Proposition

Regular interval exchange sets have factor complexity  $p_n = (\text{Card}(A) - 1)n + 1$ .

# Interval exchanges

Theorem [P. Baláži, Z. Masáková, E. Pelantová (2007)]

Regular interval exchange sets closed under reverse are rich.



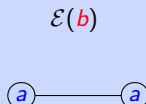
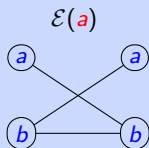
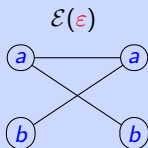
$T$  closed under reverse  $\iff \pi = (n \ n-1 \ \dots \ 2 \ 1)$

# Extension graphs

The *extension graph* of a word  $w \in S$  is the undirected bipartite graph  $\mathcal{E}(w)$  with vertices  $L(w) \sqcup R(w)$  and edges  $B(w)$ , where

$$\begin{aligned}L(w) &= \{a \in A \mid aw \in S\}, \\R(w) &= \{a \in A \mid wa \in S\}, \\B(w) &= \{(a, b) \in A \mid awb \in S\}.\end{aligned}$$

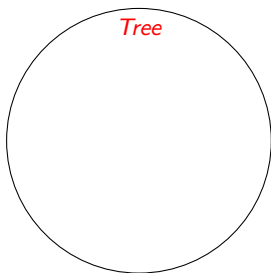
Example (Fibonacci,  $S = \{\varepsilon, a, b, aa, ab, ba, aab, aba, baa, bab, \dots\}$ )



# Tree sets

## Definition

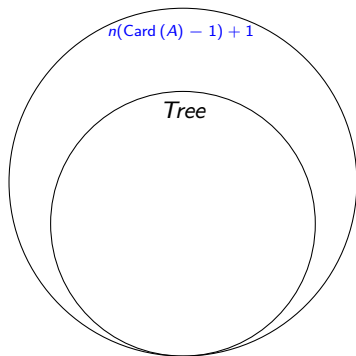
A factorial set  $S$  is called a *tree set* (of characteristic 1) if  $\mathcal{E}(w)$  is a tree for any  $w \in S$ .



# Tree sets

## Definition

A factorial set  $S$  is called a *tree set* (of characteristic 1) if  $\mathcal{E}(w)$  is a tree for any  $w \in S$ .

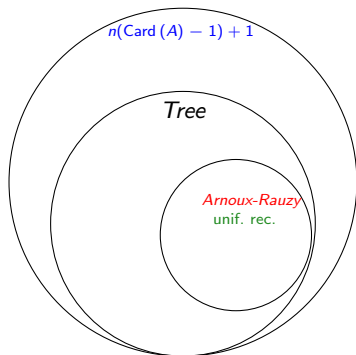


[ using J. Cassaigne : "**Complexité et facteurs spéciaux**" (1997). ]

# Tree sets

## Definition

A factorial set  $S$  is called a *tree set* (of characteristic 1) if  $\mathcal{E}(w)$  is a tree for any  $w \in S$ .



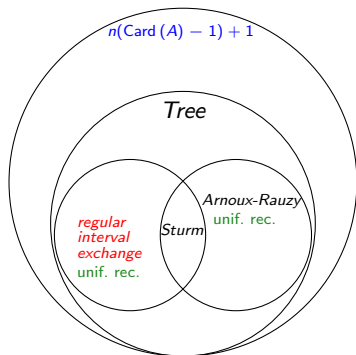
[ Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone : "Acyclic, connected and tree sets" (2014). ]



# Tree sets

## Definition

A factorial set  $S$  is called a *tree set* (of characteristic 1) if  $\mathcal{E}(w)$  is a tree for any  $w \in S$ .

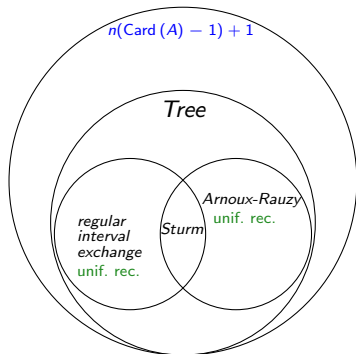


[ Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone : “**Bifix codes and interval exchanges**” (2015). ]

# Tree sets

## Definition

A factorial set  $S$  is called a *tree set* (of characteristic 1) if  $\mathcal{E}(w)$  is a tree for any  $w \in S$ .



**Theorem** [Berthé, De Felice, Delecroix, D., Leroy, Perrin, Reutenauer, Rindone (2016)]

A (uniformly) recurrent tree set closed under reversal is rich.

## $\sigma$ -palindromes

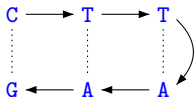
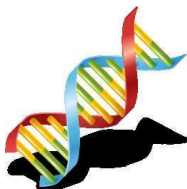
Let  $\sigma$  be an antimorphism.

A word  $w$  is a  $\sigma$ -palindrome if  $w = \sigma(w)$ .

### Example

Let  $\sigma : A \leftrightarrow T, C \leftrightarrow G$ .

The word **CTTAAG** is a  $\sigma$ -palindrome.



## $\sigma$ -palindromes

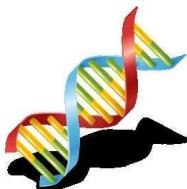
Let  $\sigma$  be an antimorphism.

A word  $w$  is a  $\sigma$ -palindrome if  $w = \sigma(w)$ .

### Example

Let  $\sigma : A \leftrightarrow T, C \leftrightarrow G$ .

The word **CTTAAG** is a  $\sigma$ -palindrome.



### Theorem [Š. Starosta (2011)]

Let  $\gamma_\sigma(w)$  be the number of transpositions of  $\sigma$  affecting  $w$ . Then

$$\text{Card}(\text{Pal}_\sigma(w)) \leq |w| + 1 - \gamma_\sigma(w)$$

A word (resp. set) is  $\sigma$ -rich if the equality holds (resp. for all its elements).

## $\sigma$ -palindromes

Let  $\sigma$  be an antimorphism.

A word  $w$  is a  $\sigma$ -palindrome if  $w = \sigma(w)$ .

Theorem [Š. Starosta (2011)]

$$\text{Card}(\text{Pal}_\sigma(w)) \leq |w| + 1 - \gamma_\sigma(w)$$

A word (resp. set) is  $\sigma$ -rich if the equality holds (resp. for all its elements).

Example

Let  $\sigma : A \leftrightarrow L, B \leftrightarrow E, I \leftrightarrow R$  and  $\tau = \text{id}$ .

## $\sigma$ -palindromes

Let  $\sigma$  be an antimorphism.

A word  $w$  is a  $\sigma$ -palindrome if  $w = \sigma(w)$ .

**Theorem** [Š. Starosta (2011)]

$$\text{Card}(\text{Pal}_\sigma(w)) \leq |w| + 1 - \gamma_\sigma(w)$$

A word (resp. set) is  $\sigma$ -rich if the equality holds (resp. for all its elements).

### Example

Let  $\sigma : A \leftrightarrow L, B \leftrightarrow E, I \leftrightarrow R$  and  $\tau = \text{id}$ .

$$\begin{aligned} \text{Card}(\text{Pal}_\sigma(\text{GABRIELE})) &= \text{Card}(\{\varepsilon, G, RI, BRIE, ABRIEL\}) \\ &= 5 < 6 = 8 + 1 - 3 \end{aligned}$$

## $\sigma$ -palindromes

Let  $\sigma$  be an antimorphism.

A word  $w$  is a  $\sigma$ -palindrome if  $w = \sigma(w)$ .

Theorem [Š. Starosta (2011)]

$$\text{Card}(\text{Pal}_\sigma(w)) \leq |w| + 1 - \gamma_\sigma(w)$$

A word (resp. set) is  $\sigma$ -rich if the equality holds (resp. for all its elements).

Example

Let  $\sigma : A \leftrightarrow L, B \leftrightarrow E, I \leftrightarrow R$  and  $\tau = \text{id}$ .

$$\begin{aligned} \text{Card}(\text{Pal}_\sigma(\text{GABRIELE})) &= \text{Card}(\{\varepsilon, G, RI, BRIE, ABRIEL\}) \\ &= 5 < 6 = 8 + 1 - 3 \\ \text{Card}(\text{Pal}_\tau(\text{CLELIA})) &= \text{Card}(\{\varepsilon, C, L, E, I, A, LEL\}) \\ &= 5 = 6 + 1 - 2 \end{aligned}$$

## $G$ -palindromes

Let  $G$  be a group containing at least one antimorphism.

A word  $w$  is a  $G$ -palindrome if there exists a nontrivial  $g \in G$  s.t.  $w = g(w)$ .

### Example

Let  $G = \langle \sigma, \tau \rangle$  with  $\sigma : A \leftrightarrow X, D \leftrightarrow E, M \leftrightarrow Q, O \leftrightarrow U$  and  $\tau : A \leftrightarrow O, D \leftrightarrow L, U \leftrightarrow X$ .

The following are  $G$ -palindromes :



## $G$ -palindromes

Let  $G$  be a group containing at least one antimorphism.

A word  $w$  is a  $G$ -palindrome if there exists a nontrivial  $g \in G$  s.t.  $w = g(w)$ .

### Example

Let  $G = \langle \sigma, \tau \rangle$  with  $\sigma : A \leftrightarrow X, D \leftrightarrow E, M \leftrightarrow Q, O \leftrightarrow U$  and  $\tau : A \leftrightarrow O, D \leftrightarrow L, U \leftrightarrow X$ .

The following are  $G$ -palindromes :

- DOMINIQUE, fixed by  $\sigma$ ,

## $G$ -palindromes

Let  $G$  be a group containing at least one antimorphism.

A word  $w$  is a  $G$ -palindrome if there exists a nontrivial  $g \in G$  s.t.  $w = g(w)$ .

### Example

Let  $G = \langle \sigma, \tau \rangle$  with  $\sigma : A \leftrightarrow X, D \leftrightarrow E, M \leftrightarrow Q, O \leftrightarrow U$  and  $\tau : A \leftrightarrow O, D \leftrightarrow L, U \leftrightarrow X$ .

The following are  $G$ -palindromes :

- DOMINIQUE, fixed by  $\sigma$ ,
- ALDO, fixed by  $\tau$ ,

## $G$ -palindromes

Let  $G$  be a group containing at least one antimorphism.

A word  $w$  is a  $G$ -palindrome if there exists a nontrivial  $g \in G$  s.t.  $w = g(w)$ .

### Example

Let  $G = \langle \sigma, \tau \rangle$  with  $\sigma : A \leftrightarrow X, D \leftrightarrow E, M \leftrightarrow Q, O \leftrightarrow U$  and  $\tau : A \leftrightarrow O, D \leftrightarrow L, U \leftrightarrow X$ .

The following are  $G$ -palindromes :

- DOMINIQUE, fixed by  $\sigma$ ,
- ALDO, fixed by  $\tau$ ,
- ANTONIO, fixed by  $\tau\sigma\tau\sigma$ .

## $G$ -palindromes

Let  $G$  be a group containing at least one antimorphism.

A word  $w$  is a  $G$ -palindrome if there exists a nontrivial  $g \in G$  s.t.  $w = g(w)$ .

### Example

Let  $G = \langle \sigma, \tau \rangle$  with  $\sigma : A \leftrightarrow X, D \leftrightarrow E, M \leftrightarrow Q, O \leftrightarrow U$  and  $\tau : A \leftrightarrow O, D \leftrightarrow L, U \leftrightarrow X$ .

The following are  $G$ -palindromes :

- DOMINIQUE, fixed by  $\sigma$ ,
- ALDO, fixed by  $\tau$ ,
- ANTONIO, fixed by  $\tau\sigma\tau\sigma$ .

A word (set) is  $G$ -rich if “the number of  $G$ -palindromes is maximal”.

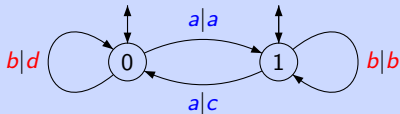
# $G$ -palindromes

**Theorem** [Berthé, De Felice, Delecroix, D., Leroy, Perrin, Reutenauer, Rindone (2016)]

Let  $S$  be a tree set closed under reversal.

The set obtained from  $S$  using a *doubling transducer* is  $G$ -rich, with  $G \simeq (\mathbb{Z}/2\mathbb{Z}) \times (\mathbb{Z}/2\mathbb{Z})$ .

Example (doubling of Fibonacci)



$aba \longrightarrow abc, cda$

# GRAZIEIZARG

