

*Dendric languages
and the Finite Index Basis Property*

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Conference on Theoretical and Computational Algebra

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Fibonacci



$$x = \text{abaababaabaababa} \dots$$

$$x = \lim_{n \rightarrow \infty} \varphi^n(a) \quad \text{where} \quad \varphi : \begin{cases} a \mapsto ab \\ b \mapsto a \end{cases}$$





Fibonacci



$$\mathbf{x} = \text{abaababaabaababa} \dots$$

The Fibonacci word is a *Sturmian word*. Its set of factor $\mathcal{L}(\mathbf{x})$ is a Sturmian language.

Definition

A *Sturmian* language $\mathcal{L} \subset \mathcal{A}^*$ is a factorial set such that $p_n = \text{Card}(\mathcal{L} \cap \mathcal{A}^n) = n + 1$.

$$\mathcal{L}(\mathbf{x}) = \left\{ \underbrace{\varepsilon}_1, \underbrace{a, b}_2, \underbrace{aa, ab, ba}_3, \underbrace{aab, aba, baa, bab}_4, \underbrace{aaba, abaa, abab, baab, baba, \dots}_5 \right\}$$

2-coded Fibonacci

$\mathbf{x} = ab\ aa\ ba\ ba\ ab\ aa\ ba\ ba \dots$

2-coded Fibonacci

$\mathbf{x} = ab \text{ } aa \text{ } ba \text{ } ba \text{ } ab \text{ } aa \text{ } ba \text{ } ba \dots$

$f^{-1}(\mathbf{x}) = v \text{ } u \text{ } w \text{ } w \text{ } v \text{ } u \text{ } w \text{ } w \dots$

$$f : \begin{cases} u \mapsto aa \\ v \mapsto ab \\ w \mapsto ba \end{cases}$$

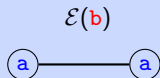
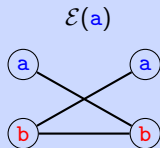
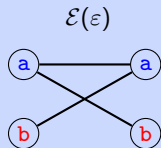


Extension graphs

The *extension graph* of a word $w \in \mathcal{L}$ is the undirected bipartite graph $\mathcal{E}(w)$ with vertices $L(w) \sqcup R(w)$ and edges $B(w)$, where

$$\begin{aligned}L(w) &= \{u \in \mathcal{A} \mid uw \in \mathcal{L}\} \\R(w) &= \{v \in \mathcal{A} \mid wv \in \mathcal{L}\} \\B(w) &= \{(u, v) \in \mathcal{A} \times \mathcal{A} \mid uwv \in \mathcal{L}\}\end{aligned}$$

Example (Fibonacci, $\mathcal{L} = \{\varepsilon, a, b, aa, ab, ba, aab, aba, baa, bab, \dots\}$)

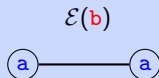
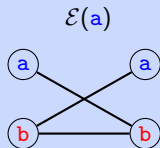
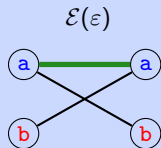


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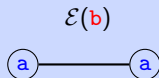
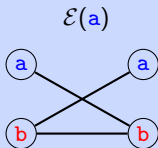
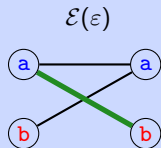


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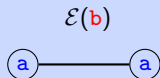
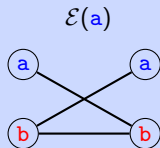
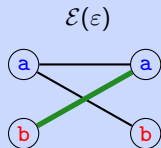


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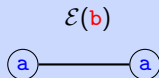
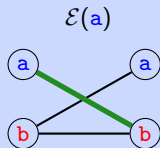
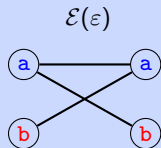


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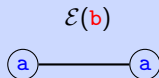
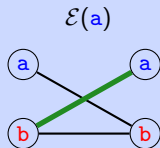
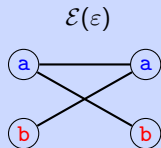


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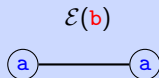
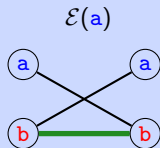
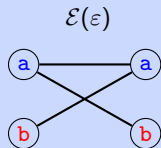


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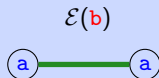
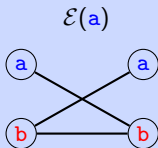
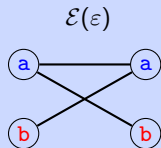


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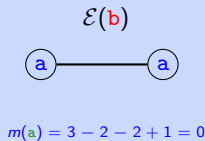
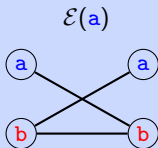
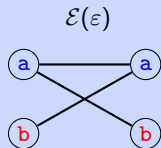
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The *multiplicity* of a word w is the quantity

$$m(w) = \text{Card}(B(w)) - \text{Card}(L(w)) - \text{Card}(R(w)) + 1.$$

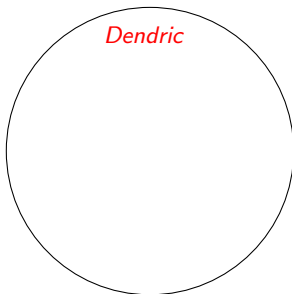
Example (Fibonacci, $\mathcal{L} = \{\varepsilon, a, b, aa, ab, ba, aab, aba, baa, bab, \dots\}$)



Dendric and neutral languages

Definition

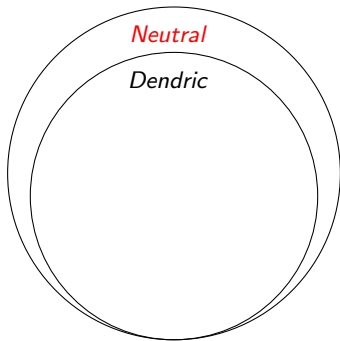
A language \mathcal{L} is called (purely) *dendric* if the graph $\mathcal{E}(w)$ is a tree for any $w \in \mathcal{L}$.



Dendric and neutral languages

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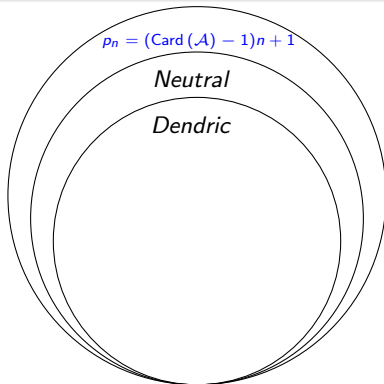
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Dendric and neutral languages

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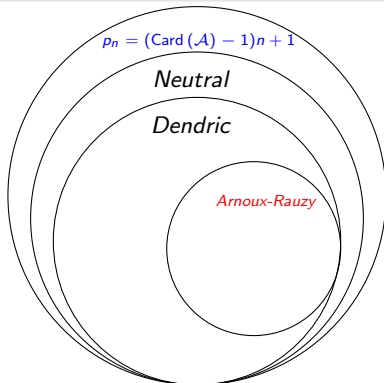
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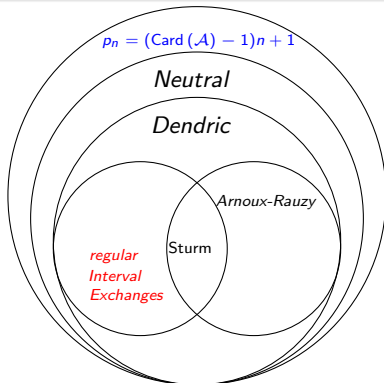


[Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone: "**Acyclic, connected and tree sets**" (2014)]

Dendric and neutral languages

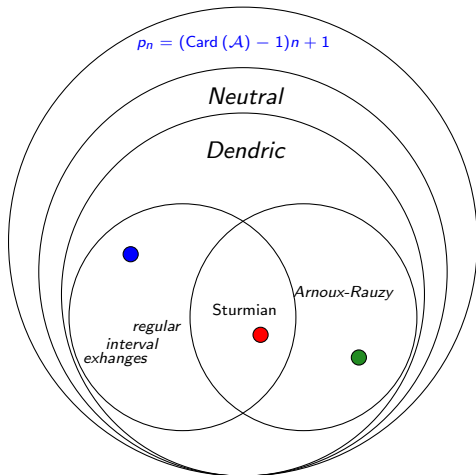
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[Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone: "Bifix codes and interval exchanges" (2015)]

Dendric and neutral languages



- Fibonacci
- ? 2-coded Fibonacci
- Tribonacci
- ? 2-coded Tribonacci
- regular IE
- ? 2-coded regular IE

Bifix codes



Bifix codes

Definition

A *bifix code* is a set $B \subset \mathcal{A}^+$ of nonempty words that does not contain any proper prefix or suffix of its elements.

Example

✓ {aa, ab, ba}

✓ {aa, ab, bba, bbb}

✓ {ac, bcc, bcbca}

✗ {por, portugal, vinho}

✗ {saudade, do, fado}

✗ {do, douro, ouro}

Bifix codes

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A *bifix code* is a set $B \subset \mathcal{A}^+$ of nonempty words that does not contain any proper prefix or suffix of its elements.

A bifix code $B \subset \mathcal{L}$ is \mathcal{L} -*maximal* if it is not properly contained in a bifix code $C \subset \mathcal{L}$.

Example (Fibonacci, $\mathcal{L} = \{\varepsilon, a, b, aa, ab, ba, aaa, aba, baa, bab, \dots\}$)

The set $B = \{aa, ab, ba\}$ is an \mathcal{L} -maximal bifix code.

It is not an \mathcal{A}^* -maximal bifix code, since $B \subset B \cup \{bb\}$.

Bifix codes

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A *bifix code* is a set $B \subset A^+$ of nonempty words that does not contain any proper prefix or suffix of its elements.

A bifix code $B \subset \mathcal{L}$ is *\mathcal{L} -maximal* if it is not properly contained in a bifix code $C \subset \mathcal{L}$.

A *coding morphism* for a bifix code $B \subset A^+$ is a morphism $f : B^* \rightarrow A^*$ which maps bijectively B onto B .

Example

The map $f : \{u, v, w\}^* \rightarrow \{a, b\}^*$ is a coding morphism for $B = \{aa, ab, ba\}$.

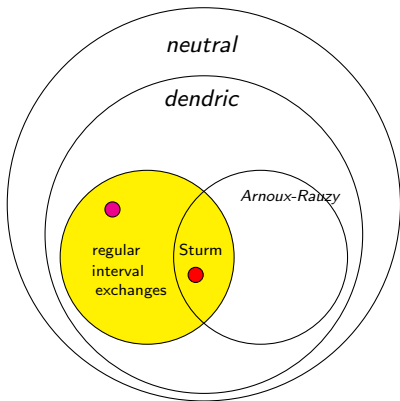
$$f : \begin{cases} u \mapsto aa \\ v \mapsto ab \\ w \mapsto ba \end{cases}$$

When \mathcal{L} is factorial and B is an \mathcal{L} -maximal bifix code, the set $f^{-1}(\mathcal{L})$ is called a *maximal bifix decoding* of \mathcal{L} .

Maximal bifix decoding

Theorem

The family of **regular interval exchanges languages** is closed under maximal bifix decoding.



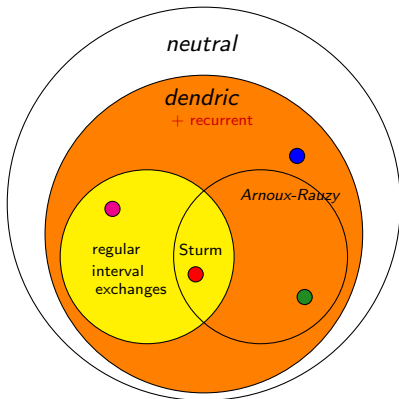
- Fibonacci
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[Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone: "Bifix codes and interval exchanges" (2015)]

Maximal bifix decoding

Theorem

The family of *recurrent dendric languages* is closed under maximal bifix decoding.



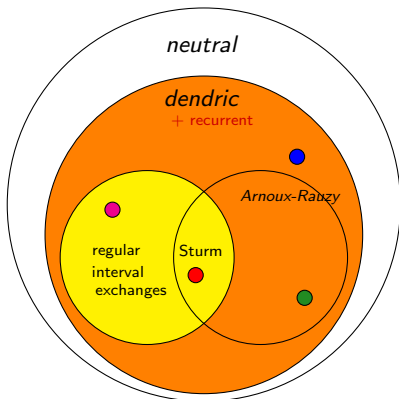
- Fibonacci
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[Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone: "Maximal bifix decoding" (2015)]

Maximal bifix decoding

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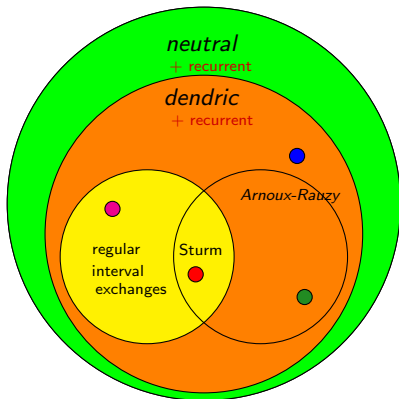
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Theorem. All complete bifix decodings of uniformly recurrent dendric languages are also uniformly recurrent [Costa (2023)]

Maximal bifix decoding

Theorem

The family of *recurrent neutral languages* is closed under maximal bifix decoding.



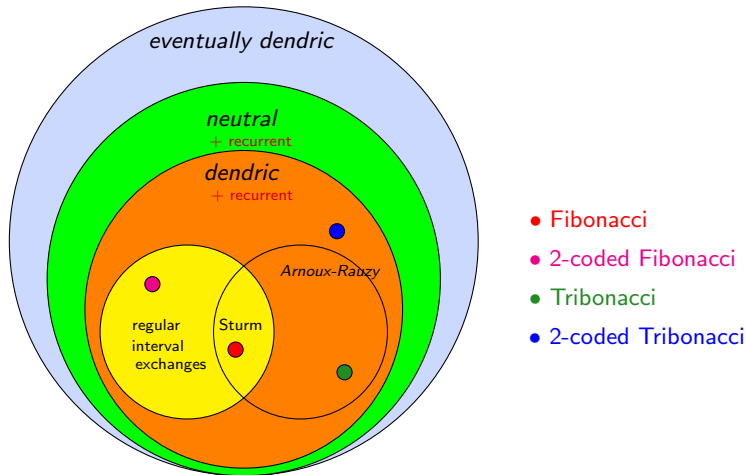
- Fibonacci
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[D., Perrin "Neutral and tree sets of arbitrary characteristic" (2016)]

Maximal bifix decoding

Theorem

The family of **eventually dendric languages** is closed under maximal bifix decoding.

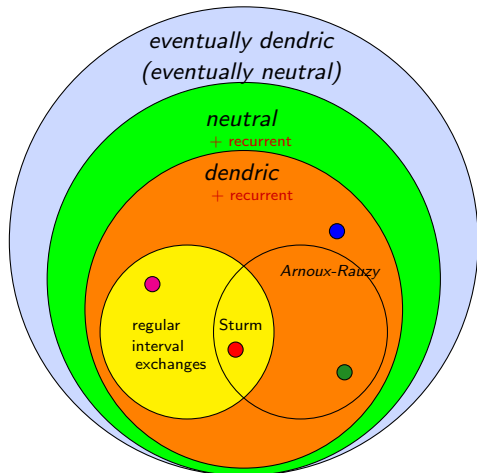


[D., Perrin "Eventually dendric shift spaces" (2019)]

Maximal bifix decoding

Theorem

The family of **eventually dendric languages** is closed under maximal bifix decoding.



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Parse and degree

Definition

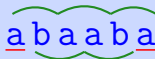
A *parse* of a word w with respect to a bifix code B is a triple (p, x, s) such that:

- $w = pxs$,
- p has no suffix in B ,
- $x \in X^*$ and
- s has no prefix in B .

Example

Let $B = \{aa, ab, ba\}$ and $w = abaaba$. The two possible parses of w are:

- $(\varepsilon, abaa, \varepsilon)$,
- $(a, baab, a)$.



abaaba

Parse and degree

Definition

A *parse* of a word w with respect to a bifix code B is a triple (p, x, s) such that:

- $w = pxs$,
- p has no suffix in B ,
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The \mathcal{L} -*degree* of B is the maximal number of parses with respect to B of a word in \mathcal{L} .

Example (Fibonacci)

- The set $B = \{aa, ab, ba\}$ has \mathcal{L} -degree 2.
- The set $\mathcal{L} \cap \mathcal{A}^n$ has \mathcal{L} -degree n .

Cardinality of bifix codes

Theorem

Let \mathcal{L} be a recurrent neutral set.

For any finite \mathcal{L} -maximal bifix code B of \mathcal{L} -degree n , one has

$$\text{Card}(B) = n(\text{Card}(\mathcal{A}) - 1) + 1.$$

Example (Fibonacci, $\mathcal{L} = \{\varepsilon, a, b, aa, ab, ba, aab, aba, baa, bab, \dots\}$)

The three possible \mathcal{L} -maximal bifix codes of \mathcal{L} -degree 2 are :

- $\{aa, ab, ba\}$
- $\{a, baab, bab\}$
- $\{aa, aba, b\}$

Each of them has cardinality $3 = 2(2 - 1) + 1$.

Cardinality of bifix codes

Theorem

Let \mathcal{L} be a recurrent neutral set.

For any finite \mathcal{L} -maximal bifix code B of \mathcal{L} -degree n , one has

$$\text{Card}(B) = n(\text{Card}(\mathcal{A}) - 1) + 1.$$

Theorem

Let \mathcal{L} be a *uniformly* recurrent set.

If every finite \mathcal{L} -maximal bifix code of \mathcal{L} -degree n has $n(\text{Card}(\mathcal{A}) - 1) + 1$ elements, then \mathcal{L} is neutral.

Finite index basis property

Example (Fibonacci)

The \mathcal{L} -maximal bifix code $B = \{aa, ab, ba\}$ of \mathcal{L} -degree 2 is a basis of $\langle \mathcal{A}^2 \rangle$. Indeed

$$bb = ba (aa)^{-1} ab$$

Finite index basis property

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The \mathcal{L} -maximal bifix code $B = \{aa, ab, ba\}$ of \mathcal{L} -degree 2 is a basis of $\langle \mathcal{A}^2 \rangle$. Indeed

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Also $\mathcal{L} \cap \mathcal{A}^3 = \{aab, aba, baa, bab\}$ is a basis of $\langle \mathcal{A}^3 \rangle$:

$$aaa = aab (bab)^{-1} baa$$

$$abb = aba (baa)^{-1} bab$$

$$bba = bab (aab)^{-1} aba$$

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Finite index basis property

Example (Fibonacci)

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$\{aa, aba, b\}$ of \mathcal{L} -degree 2 and $[\langle aa, aba, b \rangle : \mathbb{F}_{\mathcal{A}}] = 2$

Finite index basis property

Definition

A set $\mathcal{L} \subset \mathcal{A}^+$ satisfies the *finite index basis property* if for any finite bifix code $B \subset \mathcal{L}$:
 B is an \mathcal{L} -maximal bifix code of \mathcal{L} -degree d **if and only if** it is a basis of a subgroup of index d of the free group on $\mathbb{F}_{\mathcal{A}}$.

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Theorem

An **Arnoux-Rauzy set** satisfies the finite index basis property.

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Theorem

A **regular interval exchange set** satisfies the finite index basis property.

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Theorem

A (uniformly) recurrent **dendric set** satisfies the finite index basis property.

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A set $\mathcal{L} \subset \mathcal{A}^+$ satisfies the *finite index basis property* if for any finite bifix code $B \subset \mathcal{L}$:
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Theorem

A uniformly recurrent set satisfying the finite index basis property is a **dendric set**.

Return words

A (*right*) *return word* to w in \mathcal{L} is a nonempty word u such that $wu \in \mathcal{L}$ starts and ends with w but has no w as an internal factor. Formally,

$$\mathcal{R}(w) = \{u \in \mathcal{A}^+ \mid wu \in \mathcal{L} \cap (\mathcal{A}^+ w \setminus \mathcal{A}^+ w \mathcal{A}^+)\}$$

Example (Fibonacci)

$$\mathcal{R}(\mathbf{b}) = \{\underline{ab}, \underline{aab}\}$$

$$\varphi(\mathbf{a})^\omega = \text{abaabab\underline{a}abaababaabab\underline{aab}aababaabaab\dots}$$

$$\mathcal{R}(\mathbf{aa}) = \{\underline{baa}, \underline{babaa}\}$$

$$\varphi(\mathbf{a})^\omega = \text{abaabab\underline{a}abaababaabab\underline{aab}babaaabaabaab\dots}$$

The Return Theorem

Theorem

Let \mathcal{L} be a recurrent dendric language and $w \in \mathcal{L}$.
Then $\mathcal{R}(w)$ is a basis of the free group $\mathbb{F}_{\mathcal{A}}$.

Example (Fibonacci)

The set $\mathcal{R}(b) = \{ab, aab\}$ is a basis of the free group. Indeed,

$$a = aab (ab)^{-1}$$

$$b = a^{-1} ab$$

$$\langle \mathcal{R}(b) \rangle = \langle ab, aab \rangle = \langle a, b \rangle = \mathbb{F}_{\mathcal{A}}$$

The Return Theorem

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Example (Fibonacci)

The set $\mathcal{R}(aa) = \{aab, aabab\}$ is a basis of the free group. Indeed,

$$a = aab (aabab)^{-1} aab$$

$$b = a^{-1} a^{-1} aab$$

$$\langle \mathcal{R}(aa) \rangle = \langle aab, aabab \rangle = \langle a, b \rangle = \mathbb{F}_{\mathcal{A}}$$

The Return Theorem

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For every $w \in \mathcal{L}$, we have $\text{Card}(\mathcal{R}(w)) = \text{Card}(\mathcal{A})$

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Theorem

Let \mathcal{L} be a recurrent connected language.
For any $w \in \mathcal{L}$, the set $\mathcal{R}(w)$ generates the free group $\mathbb{F}_{\mathcal{A}}$.

The Return Theorem

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Let \mathcal{L} be a recurrent dendric language and $w \in \mathcal{L}$.
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Theorem

Let \mathcal{L} be a recurrent **suffix**-connected language.
For any $w \in \mathcal{L}$, the set $\mathcal{R}(w)$ generates the free group $\mathbb{F}_{\mathcal{A}}$.

[Goulet-Ouellet: "Suffix-connected languages" (2022)]

Open problems

- ▶ Is the class of suffix-connected languages closed under complete bifix decoding?
- ▶ Is it possible to characterize the languages such that every set of return words generates (resp., is a basis of) the free group?

- ▶ Are dendric languages rigid?

An infinite word \mathbf{x} is *rigid* if $\text{Stab}(\mathbf{x}) = \{\sigma : \mathcal{A}^* \rightarrow \mathcal{A}^* \mid \sigma(\mathbf{x}) = \mathbf{x}\}$ is cyclic.

- ▶ Connection with profinite algebra

Almeida, Costa (2016), Almeida, Costa, Kyriakoglou, Perrin (2020), Goulet-Ouellet (2022), Costa (2023)

Obrigado pela sua atenção

